

# **Objective Caml module system**

Francesco Zappa Nardelli

Moscova Project — INRIA Rocquencourt

...based on a talk by Xavier Leroy.

BISS 2005, Bertinoro, Italy — March 14-18, 2005

---

## Modular programming

- Separation of a program into parts that can be compiled independently

*Being able to compile big programs*

- Add structure to the code, simplifying code reutilisation

*Being able to understand big programs*

---

## ML modules

(MacQueen, Milner, Harper) — Largely independent from the base language.

- A little typed functional language.
- Deals with collections of definitions (of values, of types) of the base language.
- Their types: collections of declarations/specifications (types of values, type declarations).
- Nested modules (like data-structures).
- Functions (functors) and function application.

---

## Basic modules

The *structures*: collections of definitions.

```
struct definition ... definition end
```

The definitions correspond to the base language constructs:

definitions of values and functions

let  $x = \dots$

definitions of types

type  $t = \dots$

definitions of exceptions

exception  $E$  [ of  $\dots$  ]

definitions of classes

class  $C = \dots$

definitions of sub-modules

module  $X = \dots$

definitions of types of modules

module type  $S = \dots$

---

## Naming of a module

We name modules using the `module` binder.

```
module S = struct ... end
```

Example:

```
module S =
  struct
    type t = int
    let x = 0
    let f x = x+1
  end
```

---

## Use of a module

Dotted notation to reference module fields: *module.field*

```
... (S.f S.x : S.t) ...
```

Other option: the `open` *module* directive allows omitting the prefix and the dot:

```
open S
... (f x : t) ...
```

---

## Nested modules

A module can be part of another module:

```
module T =
  struct
    module R = struct let x = 0 end
    let y = R.x + 1
  end
```

The dot notation and the open construct extend smoothly:

T.R.x

open T.R

---

## The types of the basic modules

The *signatures*: collections of specifications (of types).

`sig specification ... specification end`

specification of values

`val x : σ`

specification of types, abstract

`type t`

specification of types, manifest

`type t = τ`

specification of exceptions

`exception E`

specification of classes

`class C : ...`

specification of sub-modules

`module X : M`

specification de module types

`module type S [ = M]`

Naming of a module type: `module type SIG = sig ... end`

---

---

## Sub-signaturing

For every structure definition, the system infers the most precise signature: all the components of the structure are visible, with their most general type.

```
struct                                sig
  type t = int
  let f x = x
end                                    type t = int
                                         val f : 'a -> 'a
                                         end
```

To hide some fields, or to restrict their type, we use signature constraints:  
*(structure : signature)*.

The constraint checks that the structure satisfies the signature, and reduces into the structure seen with the restricted signature.

---

## Example of restriction

```
module S =
  (struct
    type t = int
    let x = 1
    let y = x + 1
  end :
  sig
    type t
    val y : t
  end)
```

S.x;;                   *error ‘‘S.x is unbound’’*  
S.y + 1;;               *error ‘‘S.y is not of type int’’*

---

---

## Multiple views of the same module

```
module Stamp =
  struct
    type t = int
    let new () = ...
    let equal s1 s2 = (s1 = s2)
  end

module type ABSTRSTAMP =
  sig
    type t
    val equal: t -> t -> bool
  end

module AbstrStamp = (Stamp : ABSTRSTAMP)
```

---

## Modules and separate compilation

A compilation unit  $A$  is composed of two files:

- the implementation file  $A.ml$ :  
a sequence of definitions  
like the content of `struct...end`
- the interface file  $A.mli$  (optional):  
a sequence of specifications  
like the content of `sig...end`

Another compilation unit  $B$  can refer to  $A$  as if  $A$  is a structure, using the dot notation  $A.x$  or the open  $A$  construct.

---

---

## Separate compilation of a program

Source files: a.ml, a.mli, b.ml

Compilation steps:

ocamlc -c a.mli	(compiles the interface of A, creates a.cmi)
ocamlc -c a.ml	(compiles the implementation of A, creates a.cmo)
ocamlc -c b.ml	(compiles the implementation of B, creates b.cmo)
ocamlc -o myprog a.cmo b.cmo	(final linking)

The program behaves like the monolithic code below:

```
module A = (struct content of a.ml end : sig content of a.mli end)
module B = struct content of b.ml end
```

The order of the module definitions corresponds to the order of the object files .cmo on the command line of the linker.

---

## Parametric modules

A *functor* is a function from modules into modules:

$$\text{functor}(S: \text{ signature}) \rightarrow \text{ module}$$

The *module* (body of the functor) is explicitly parametrised on the module *S*. It refers to the components of its parameter using the dot notation.

```
module T = functor(S : SIG) ->
  struct
    type u = S.t * S.t
    let y = S.g(S.x)
  end
```

---

## Functor application

Fields of T cannot be directly accessed: T must be applied to an implementation of the signature SIG (like a standard function application).

```
module T1 = T(S1)
module T2 = T(S2)
```

T1, T2 are used like normal structures:

```
(T1.y : T2.u)
```

T1 and T2 share completely their code.

---

## Long example: polynomials over an arbitrary ring

We will implement the standard operations on polynomials with coefficients over an arbitrary ring, passed as a parameter.

The parameter “ring” is a structure respecting the signature below:

```
module type RING =
  sig
    type t                      (* type of the elements of the ring *)
    val zero: t
    val one: t
    val plus: t->t->t          (* sum *)
    val opp: t->t                (* opposite *)
    val prod: t->t->t          (* product *)
  end
```

---

## Polynomials (implementation 1)

```
module PolyFull = functor (Ring : RING) ->
  struct
    module A = Ring
    type t = A.t array          (* type of polynomials *)
    let zero = [| A.zero |]      (* polynomial 0 *)
    let one = [| A.one |]        (* polynomial 1 *)
    let monome c n =
      let p = Array.create (n+1) A.zero in
      p.(n) <- c; p
    let coeff n p = p.(n)        (* coefficient of  $X^n$  in p *)
    let degree p =
      let d = ref (Array.length p - 1) in
      while !d >= 0 && p.(!d) = A.zero do decr d done;
      !d
```

---

```
let plus p1 p2 =                      (* sum *)
  let r = Array.create (max (Array.length p1) (Array.length p2)) A.zero in
  for i = 0 to Array.length p1 - 1 do r.(i) <- p1.(i) done;
  for i = 0 to Array.length p2 - 1 do r.(i) <- A.plus r.(i) p2.(i) done;
  r
let opp p = ...                      (* opposite *)
let prod p1 p2 = ...                  (* product *)
end
```

---

## Use of the functor PolyFull

Polynomials with integer coefficients:

```
module Integers =
  struct
    type t = int
    let zero = 0
    let one = 1
    let plus x y = x + y
    let opp x = -x
    let prod x y = x * y
  end
module PolyIntegers = PolyFull(Integers)
```

---

Polynomials with integer coefficients with two variables = polynomials of polynomials of integers:

```
module Poly2Integers = PolyFull(PolyIntegers)
```

This is correct, because the structure obtained from `PolyFull` satisfies the signature `RING`.

---

## Polynomials (implementation 2)

```
module PolyEmpty = functor (Ring : RING) ->
  struct
    module A = Ring
    type t = (A.t * int) list          (* type of polynomials *)
    let zero = []                        (* polynomial 0 *)
    let one = [(A.one, 0)]               (* polynomial 1 *)
    let monome c n = [(c, n)]           (* monome  $c \cdot X^n$  *)
    let rec degree = function
      [] -> 0
      | [(coeff, d)] -> d
      | (coeff, d) :: reste -> degré reste
    let rec coeff n p =
      match p with
      [] -> A.zero
```

---

```
| (c, d) :: reste ->
  if d = n then c else
  if d > n then A.zero else coeff n reste
let plus p1 p2 = ...          (* addition *)
let opp p = ...               (* opposite *)
let prod p1 p2 = ...          (* product *)
end
```

---

## Representation independence

PolyFull and PolyEmpty implements the same interface and are mostly interchangeable:

```
module PolyIntegers = PolyEmpty(Integers)
module Poly2Integers = PolyEmpty(PolyIntegers)
```

but not completely interchangeable: the representation type of the polynomials is visible!

```
module P1 = PolyFull(Integers);;          (* P1.t = int array *)
P1.degree [| 1;2;3;4 |];;                  (* - : int = 3 *)

module P2 = PolyEmpty(Integers);;          (* P2.t = (int * int) list *)
P2.degree [| 1;2;3;4 |];;                  (* type error *)
```

---

## Hiding the representation of the polynomials

We apply a constraining signature to the result of the functors PolyFull and PolyEmpty, to hide the type t.

First attempt:

```
module type POLYNOME =
  sig
    module A : RING
    type t
    val zero: t
    val monome: A.t -> int -> t
    val coeff: int -> t -> A.t
    val plus: t -> t -> t
    val one: t
    val degree: t -> int
    val opp: t -> t
    val prod: t -> t -> t
  end
  module PolyFull = functor (Ring: RING) -> (struct ... end : POLYNOME)
```

---

Problem: we hide the type `A.t` of the coefficients, and the result of `PolyFull` cannot be used:

```
module PolyIntegers = PolyFull(Integers);;
PolyIntegers.monom 15 2;;
```

*This expression has type int but is used with type PolyIntegers.A.t*

---

The right solution: rely on a manifest type to keep the identity of the type A.t of the result:

```
module PolyFull = functor (Ring: RING) ->
  (struct ... end : POLYNOME with type A.t = Ring.t)
```

Then:

```
module PolyIntegers = PolyFull(Integers)
```

We have PolyIntegers.t abstract,  
but PolyIntegers.A.t = Integers.t = int as desired.

---

## The **with** notation

Allows adding type equalities to an existing signature. The expression POLYNOME with type A.t = Ring.t is a shorthand for the signature:

```
sig
  module A : sig type t = Ring.t
    val zero: t           val one: t
    val plus: t->t->t   val opp: t->t
    val prod: t->t->t
  end
  type t
  val zero: t           val one: t
  val monome: A.t -> int -> t   val plus: t -> t -> t
  val opp: t -> t           val prod: t -> t -> t
  val degree: t -> int      val coeff: int -> t -> A.t
end
```

---

## Modules vs. polymorphism

You can implement polynomials without functors, using ML polymorphism:

```
type 'a polynome
type 'a ring =
  { zero: 'a; one: 'a; plus: 'a->'a->'a; opp: 'a->'a; prod: 'a->'a->'a }
val zero: 'a ring -> 'a polynome
val one: 'a ring -> 'a polynome
val monome: 'a ring -> 'a -> int -> 'a polynome
val plus: 'a ring -> 'a polynome -> 'a polynome -> 'a polynome
val opp: 'a ring -> 'a polynome -> 'a polynome
val prod: 'a ring -> 'a polynome -> 'a polynome -> 'a polynome
val degree: 'a ring -> 'a polynome -> int
val coeff: 'a ring -> int -> 'a polynome -> 'a
```

---

First problem: ring operations are one auxiliary argument of the operations on polynomials.

*Functors = global parametrisation*

*Base language = local parametrisation*

---

Second problem: the type of polynomials reflects only the type of the elements of the ring, not the ring.

```
let entiers =
  { zero = 0; one = 1; plus = fun x y -> x + y; ... }
let z_sur_3z =
  { zero = 0; one = 1; plus = fun x y -> (x + y) mod 3; ... }
let p1 = monome entiers 15 2
let p2 = monome z_sur_3z 2 3
let p3 = prod entiers p1 p2
```

Mixing p1 and p2 is absurd, but they have the same type int polynome.

---

The implementation using functors detects statically this error thanks to the type system:

```
module Z_sur_3Z =
  struct type t = int  let zero = 0  let one = 1  let plus x y = (x+y) mod 3 ... end
module PolyIntegers = PolyFull(Integers)
module PolyZ3Z = PolyFull(Z_sur_3Z)
```

The types `PolyIntegers.t` and `PolyZ3Z.t` are both abstracts, and as such incompatibles:

```
PolyZ3Z.degree PolyIntegers.one
```

*This expression has type PolyIntegers.t  
but is here used with type PolyZ3Z.t*

---

## Special case: $\mathbf{Z}/2\mathbf{Z}$

Other advantage of functors: give direct implementations for some types.

Example: to implement  $\mathbf{Z}/2\mathbf{Z}$  we give a structure Z2Z based on bit arrays, with the same interface that the result of the PolyFull functor:

```
module Poly_Z2Z : (POLYNOME with type A.t = int) =
  struct
    module A = Z_over_2Z
    type t = bitvect
    let plus = bitvect_xor
    let prod = bitvect_prod
    let opp = id
    ...
  end
```