On General Recursion

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When structural recursion is not enough : some examples

We present some simple case studies where the most natural recursion scheme does not fill the structural recursion constraint :

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- Discrete logarithm (base 10),
- Euclidean division,
- Merging sorted lists,
- Binary search.

Computing the discrete logarithm (base 10)

Problem : Defining some function $log10 : Z \rightarrow Z$, satisfying :

$$orall n, p: \mathtt{Z}, 0 \leq p \Rightarrow 10^p \leq n < 10^{p+1} \Rightarrow log10(n) = p$$

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A first attempt could be :

```
Fixpoint log10 (n : Z) : Z :=
    if Zlt_bool n 10
    then 0
    else 1 + log10 (n / 10).
```

```
Fixpoint log10 (n : Z) : Z :=
  if Zlt_bool n 10
  then 0
  else 1 + log10 (n / 10).
Frror.
Recursive definition of log10 is ill-formed.
In environment
log10 : Z -> Z
n: Z
Recursive call to log10 has principal argument equal to "n / 10"
instead of a subterm of n.
```

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Let us consider for instance the computation of log10(253).

- This number is written 11111010 in binary form and the corresponding term is Zpos(x0(xI(x0(xI(xI(xI(xH))))))).
- The next recursive call is log10(25); 25's binary representation is 11001, and the associated Coq term is In Coq, this number is Zpos(xI(xO(xI(xH))))).

 Clearly, the subterm constraint is not satisfied by this computation.

Euclidean division

This example is very similar to log10. If we want to compute the euclidean division of a by b through successive subtractions, we don't respect the subterm condition. Example : division of 100 by 27.

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```
(100,27)
(73,27)
(46,27)
(19,27)
(0,19)
(1,19)
(2,19)
(3,19)
```

Merging two sorted lists

merge(1::3::5::nil, 2::2::4::8::34::nil) =

Merging two sorted lists

```
merge(1::3::5::nil, 2::2::4::8::34::nil) =
```

```
1::merge(3::5::nil, 2::2::4::8::34::nil) =
```

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Merging two sorted lists

- merge(1::3::5::nil, 2::2::4::8::34::nil) =
- 1::merge(3::5::nil, 2::2::4::8::34::nil) =
- 1::2::merge(3::5::nil, 2::4::8::34::nil) =

Merging two sorted lists

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- 1::2::merge(3::5::nil, 2::4::8::34::nil) =
- 1::2::2::merge(3::5::nil, 4::8::34::nil) =

Merging two sorted lists

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- 1::2::merge(3::5::nil, 2::4::8::34::nil) =
- 1::2::2::merge(3::5::nil, 4::8::34::nil) =
- 1::2::2::3::merge(5::nil, 4::8::34::nil) =

Merging two sorted lists

- merge(1::3::5::nil, 2::2::4::8::34::nil) =
- 1::merge(3::5::nil, 2::2::4::8::34::nil) =
- 1::2::merge(3::5::nil, 2::4::8::34::nil) =
- 1::2::2::merge(3::5::nil, 4::8::34::nil) =
- 1::2::2::3::merge(5::nil, 4::8::34::nil) =
- 1::2::2::3::4::merge(5::nil, 8::34::nil) =

Merging two sorted lists

- merge(1::3::5::nil, 2::2::4::8::34::nil) =
- 1::merge(3::5::nil, 2::2::4::8::34::nil) =
- 1::2::merge(3::5::nil, 2::4::8::34::nil) =
- 1::2::2::merge(3::5::nil, 4::8::34::nil) =
- 1::2::2::3::merge(5::nil, 4::8::34::nil) =
- 1::2::2::3::4::merge(5::nil, 8::34::nil) =
- 1::2::2::3::4::5::merge(nil, 8::34::nil) =

Merging two sorted lists

merge(1::3::5::nil, 2::2::4::8::34::nil) =

- 1::merge(3::5::nil, 2::2::4::8::34::nil) =
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- 1::2::2::merge(3::5::nil, 4::8::34::nil) =
- 1::2::2::3::merge(5::nil, 4::8::34::nil) =
- 1::2::2::3::4::merge(5::nil, 8::34::nil) =
- 1::2::2::3::4::5::merge(nil, 8::34::nil) =

1::2::2::3::4::5::8::34::nil

On General Recursion

-Where structural recursion is not enough

Binary search

Let *a* be a sorted array, for instance :

i	1	2	3	4	5	6	7	8	9	10	11	12
a(i)	-10	-10	2	5	8	8	17	18	29	30	30	42

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We look for instance for some index *i* such that a(i) = 7.

Looking for 7 in *a* between the indexes 1 and 12 (12 cells) amounts to look for 4 between the indexes 1 and 5 (5 cells), then between 4 and 5 (2 cells), etc.



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Looking for 7 in *a* between the indexes 1 and 12 (12 cells) amounts to look for 4 between the indexes 1 and 5 (5 cells), then between 4 and 5 (2 cells), etc.

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-10	-10	2	5	8	8	17	18	29	30	30	42
-10	-10	2	5	8	8	17	18	29	30	30	42

Looking for 7 in *a* between the indexes 1 and 12 (12 cells) amounts to look for 4 between the indexes 1 and 5 (5 cells), then between 4 and 5 (2 cells), etc.

1	2	3	4	5	6	7	8	9	10	11	12
-10	-10	2	5	8	8	17	18	29	30	30	42
-10	-10	2	5	8	8	17	18	29	30	30	42
-10	-10	2	5	8	8	17	18	29	30	30	42

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-10	-10	2	5	8	8	17	18	29	30	30	42
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Looking for 7 in *a* between the indexes 1 and 12 (12 cells) amounts to look for 4 between the indexes 1 and 5 (5 cells), then between 4 and 5 (2 cells), etc.

1	2	3	4	5	6	7	8	9	10	11	12
-10	-10	2	5	8	8	17	18	29	30	30	42
-10	-10	2	5	8	8	17	18	29	30	30	42
-10	-10	2	5	8	8	17	18	29	30	30	42
-10	-10	2	5	8	8	17	18	29	30	30	42
-10	-10	2	5	8	8	17	18	29	30	30	42

Unfortunately, neither the number of cells nor the bounds give us a *structurally* decreasing argument.

Bounding the number of calls to a recursive function

It is sometimes possible to bound the number of calls to a recursive function. In this case, one can use this information for building a well-formed structural recursion.

For instance, when merging two lists u and v, the natural number l = |u| + |v| decreases by 1 at each recursive call.

merge(1::3::5::nil) (2::2::4::8::34::nil) = merge_aux 8 (1::3::5::nil) (2::2::4::8::34::nil) =

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```
merge(1::3::5::nil) (2::2::4::8::34::nil) =
merge_aux 8 (1::3::5::nil) (2::2::4::8::34::nil) =
1::merge_aux 7 (3::5::nil) (2::2::4::8::34::nil) =
```

merge(1::3::5::nil) (2::2::4::8::34::nil) =
merge_aux 8 (1::3::5::nil) (2::2::4::8::34::nil) =
1::merge_aux 7 (3::5::nil) (2::2::4::8::34::nil) =
1::2::merge_aux 6 (3::5::nil) (2::4::8::34::nil) =
1::2::2::merge_aux 5 (3::5::nil) (4::8::34::nil) =
1::2::2::3::merge_aux 4 (5::nil, 4::8::34::nil) =
1::2::2::3::4::merge_aux 3 (5::nil) (8::34::nil) =
1::2::2::3::4::5::merge_aux 2 nil (8::34::nil) =
1::2::2::3::4::5::8::34::nil

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On General Recursion

-Bounding the number of calls to a recursive function

```
Definition merge u v :=
    merge_aux (length u + length v) u v .
```

A look at the extracted code

```
let rec merge_aux n u v =
  match n with
    | 0 -> Nil
    | S p ->
       (match u,v with
           | Nil, Nil -> u
           | Nil,Cons (z0, 1) -> v
           | Cons (a, u'), Nil -> u
           | Cons (a, u'), Cons (b, v') ->
              if zle_bool a b
              then Cons (a, (merge_aux p u' v))
              else Cons (b, (merge_aux p u v')))))
```

let merge u v = merge_aux (length u + length v) u v

Main drawbacks of this solution

More computations than needed :

- 1. Computation of the lists' length
- 2. merging the lists

This computation appears also in the extracted program.

a correctness proof of merge must include a proof that the numeric argument given to merge_aux is large enough.

We shall now present some techniques for avoiding this extra work as much as possible.

Using Measures over Natural Numbers

We present a simple technique that allows the user to write recursive functions with less constraints than "pure" structural recursion. Furthermore, termination arguments are erased during extraction.

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A first example

```
Require Import Recdef.
```

```
(* Zabs_nat : Z \rightarrow nat *)
```

```
Function log10 (n : Z) {measure Zabs_nat n}: Z :=
if Zlt_bool n 10
then 0
else 1 + log10 (n / 10).
```

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We have to prove that the measure associated with the argument n strictly decreases along recursive calls.

1 subgoal

forall n : Z, Zlt_bool n 10 = false -> (Zabs_nat (n / 10) < Zabs_nat n)%nat

The library Recdef allows *Coq* to accept this definition, once this goal (called a *proof obligation*) is solved.

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Merge, with measures

```
Definition plus_length (u_v : list Z * list Z):nat :=
  (length (fst u_v) + length (snd u_v))%nat.
```

end.

```
Binary search, with measures
   Let m (p: Z * Z) : nat := Zabs_nat (snd p - fst p).
   Function search (bounds : Z*Z )
   {measure m bounds} : option Z :=
     let (from,to) := bounds in
     if Zle_bool from to
     then let m := middle from to in
       if Zeq_bool x (a m)
       then Some m
       else if andb (Zle_bool from (m-1)) (Zlt_bool x (a m))
          then search (from, m - 1)
          else if Zle_bool (m +1) to
                then search (m+1, t_0)
                else None
    else None.
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```

A more complex example

```
(* Example : pairs 4 returns the list
(4,4)::(4,3)::(4,2)::(4,1)::(3,3)::(3,2)::
(3,1)::(2,2)::(2,1)::(1,1)::nil *)
```

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Definition pairs (i:nat) := pairs_aux (i,i).

No simple (linear) measure can be given to Function!

Let's consider a measure of the form :

fun p: nat*nat => α *(fst p)+ β *(snd p)

The measure of $(S \ i, O)$ must be greater than the measure of (i, i), the same with $(S \ i, S \ j)$ and $(S \ i, j)$,

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Thus, we should have $\beta > 0$ and $\alpha > \beta \times i$ for any *i*, which is impossible.

On General Recursion

Using Measures over Natural Numbers

Solutions?

Using a non-linear measure, like :

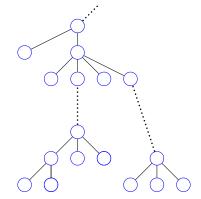
fun p : nat*nat => let (i,j) := p in i*(i+1)+ j

The proof must be done *manually*, because the automatic tactic omega doesn't work properly with multiplications.

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-Well-founded Relations

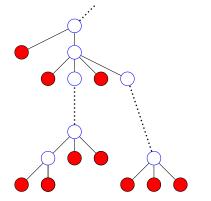
Well-founded Relations



Dotted lines represent any number of elementary relationships

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-Well-founded Relations

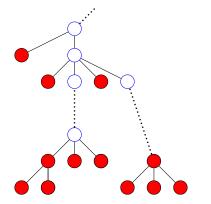


Minimal elements are *accessible*

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On General Recursion

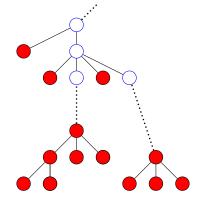
-Well-founded Relations



Elements whose all predecessors are accessible become accessible

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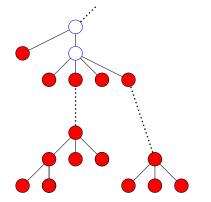
-Well-founded Relations



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-Well-founded Relations

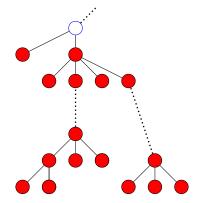


Some time later ...

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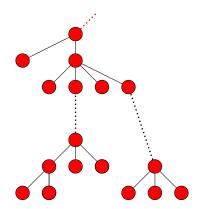
On General Recursion

-Well-founded Relations



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-Well-founded Relations



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Termination using well-founded relations

For proving that some recursive function f with main argument a : A terminates, hence is acceptable by Coq:

- 1. Consider some well-founded relation R over A
- 2. Prove that for each recursive call f b, b R a holds.

We have to use the wf option of Function :

Function f (x:A1) (a : A) {wf R a} : B :=
... f y1 b ...

Termination using well-founded relations

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We have to use the wf option of Function :

```
Function f (x:A1) (a : A) {wf R a} : B :=
... f y1 b ...
```

This command generates two kinds of proof obligations :

- Proving the relations *b R a*, under the hypotheses associated to the context of each recursive call to *f*,
- ▶ Proving that *R* is truly well-founded.

-Well-founded Relations

Proving that some relation is well-founded

 $Coq{\rm 's}$ Standard Library provides us with some useful examples of well-founded relations :

The predicate lt over nat (but you can use measure instead)

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► The predicate Zwf c, which is the restriction of < to the interval [c, ∞[of Z.</p>

-Well-founded Relations

```
Function log10 (n : Z){wf (Zwf 1) n} : Z :=
    if Zlt_bool n 10
    then 0
    else 1 + log10 (n / 10).
Proof.
intros n teq;Zbool2Prop.
generalize (Z_div_lt n 10);intros;split;omega.
apply Zwf_well_founded.
Qed.
```

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Using the Standard Library

The Standard Library provides the user with some useful theorems that allow to prove some relation is well-founded.

```
Require Import Inclusion.
Require Import Zwf.
```

```
Lemma half_wf : well_founded
                          (fun i j : Z => 0 < i ^ j = 2 * i).
Proof.
apply wf_incl with (Zwf 0).
    (* prove that our relation is included in (Zwf 0) *)
    intros i j [H H0];split;auto with zarith.
    apply Zwf_well_founded.
Qed.
```

-Well-founded Relations

- Other modules (in the Wellfounded section of the Standard Library) contain similar lemmas. Their use is interesting, but requires some experience with the Coq system.
- It is possible to design tools for adapting these lemmas to the use of Function.

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-Well-founded Relations

```
Require Import Measures. (* Not in Standard Library *)
Let measures := (@fst nat nat) ::
                (@snd nat nat) :: nil.
Function pairs_aux (p:nat*nat)
         {wf (measures_lt measures) p}
         : list (nat*nat):=
match p with (0,_) => nil
            (S i, S j) => (S i, S j)::pairs_aux (S i, j)
            |(S i, 0) = pairs_aux (i, i)
```

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end.

Testing the function

Once *Coq* has accepted your function, and before proving it is correct, it may be useful to do some simple tests :

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Eval compute in log10 67.

Testing the function

Once *Coq* has accepted your function, and before proving it is correct, it may be useful to do some simple tests :

Eval compute in log10 67. *waiting for an answer* ...

In fact, log10 is defined by a huge *Coq* term, which contains all the termination proof. Just try to type :

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```
Goal log10 67 = 2.
Proof.
unfold log10, log10_terminate;simpl.
```

A goal of more than 450 lines !

Using the extraction facility

Extraction "log10.ml" log10.



Using the extraction facility

Extraction "log10.ml" log10. The file log10.ml has been created by extraction. The file log10.mli has been created by extraction.

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Using the extraction facility

Extraction "log10.ml" log10. The file log10.ml has been created by extraction. The file log10.mli has been created by extraction.

let log_10 x = z_to_int (log10 (int_to_Z x));;

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log_10 9999;;

Using the extraction facility

Extraction "log10.ml" log10. The file log10.ml has been created by extraction. The file log10.mli has been created by extraction.

```
let log_10 x = z_to_int (log10 (int_to_Z x));;
log_10 9999;;
```

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```
-: int = 3
```

log_10 10000;;

Using the extraction facility

Extraction "log10.ml" log10. The file log10.ml has been created by extraction. The file log10.mli has been created by extraction.

```
let log_10 x = z_to_int (log10 (int_to_Z x));;
log_10 9999;;
-: int = 3
log_10 10000;;
```

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```
- : int = 4
```

log_10 0;;

Using the extraction facility

Extraction "log10.ml" log10. The file log10.ml has been created by extraction. The file log10.mli has been created by extraction.

```
let log_10 x = z_to_int (log10 (int_to_Z x));;
log_10 9999;;
-: int = 3
log_10 10000;;
```

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-: int = 4 log_10 0;;

-: int = 0

Proving equalities in Coq

Among the few lemmas that are generated by Function, the lemma log10_equation has the following statement, which expresses the intention of the original definition :

```
log10_equation
```

```
: forall n : Z,
log10 n = (if Zlt_bool n 10
then 0
else 1 + log10 (n / 10))
```

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```
Goal log10 103=2.
repeat (rewrite log10_equation; simpl).
```

```
Goal log10 103=2.
repeat (rewrite log10_equation;simpl).
1 subgoal
```

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2=2trivial.

Qed.

Correctness Proofs

log10's correctness is expressed by the following statement, which relates the argument n and the result log10 n :

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```
Lemma log10_OK : forall n p, 0 \leq p -> 10 ^ p \leq n < 10^(p+1)-> log10 n = p.
```

intro n. 1 subgoal n : Zforall $p : Z, 0 \le p >$ $10 \ p \le n < 10 \ (p + 1) >$ $log10 \ n = p$

functional induction (log10 n).

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A first subgoal is generated from the structure of the function definition :

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```
Function log10 (n : Z) ...
if Zlt_bool n 10
then 0 ...
```

Let us consider the else part of the function definition, which contains a recursive call.

```
Function log10 (n : Z)wf (Zwf 1) n : Z :=
  if Zlt_bool n 10
   ...
  else 1 + log10 (n / 10).
```

Coq generates a context assuming the recursive calls correctness, and a goal for proving the correctness of the computed result.

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For solving this goal, we first assert that 0 < p (from e and H0), then apply IHz to p - 1.

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For solving this goal, we first assert that 0 < p (from e and H0), then apply IHz to p - 1. The actual proof uses properties of exponentiation, the arithmetic solver omega, and conversions between Zlt_bool and <.

- More examples

More examples

In this section, we present roughly some techniques we have used in our correctness proofs. Full proofs are either left as exercises or can be downloaded from the school's page.

On General Recursion
More examples
Merge

Require Import Recdef.

```
Definition plus_length (u_v : list Z * list Z):nat :=
   length (fst u_v) + length (snd u_v).
Function merge (u_v : list Z * list Z)
 {measure plus_length u_v} : list Z :=
match u v with
| (nil,v) => v
| (u,nil) => u
| ((a::u') as u,(b::v') as v) =>
    if Zle_bool a b
    then a::(merge (u',v))
    else b::(merge (u,v'))
 end.
```

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On General Recursion			
More examples			
Merge			

We want to prove that, if u and v are sorted, then merge (u, v) is sorted too.

Hint Constructors sorted.

Lemma sorted_merge_0: forall u_v, sorted (fst u_v) -> sorted (snd u_v) -> sorted (merge u_v).

On General Recursion
More examples

- Merge

intro u_v; functional induction (merge u_v) ;simpl.

The tactic call functional induction (merge u_v) considers 4 situations, each one corresponding to the possible results (in blue). When needed, an induction hypothesis is generated for the recursive calls (in red).

On General Recursion			
More examples			
Merge			

The first subgoal corresponds to the case where u is empty :

```
(* match u_v with
    | (nil,v) => v
    ...
*)
v : list Z
    sorted nil -> sorted v -> sorted v
```

The second subgoal is quite the same (up to symmetry).

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On General Recursion
More examples
Merge

Let us consider the third subgoal :

```
(*...
| ((a::u') as u,(b::v') as v) =>
if Zle_bool a b then a::(merge (u',v))
...*)
e0 : Zle_bool a b = true
IHI : sorted u' -> sorted (b :: v') ->
sorted (merge (u', b :: v'))
H : sorted (a :: u')
H0 : sorted (b :: v')
```

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sorted (a :: merge (u', b :: v'))

On General Recursion	
More examples	
Merge	

Inversion on H and H0 leads to consider some cases :

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•
$$u' = nil$$
 or $u' = a0 :: w$ (with $a \le a0$)

•
$$v' = nil$$
 or $v' = b0 :: v''$ (with $b \le b0$)

comparison of a0 with b0

For instance, in the following situation :

Using merge_equation (twice), then comparing a0 and b helps us to solve the goal.

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On	General	Recursion
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- More examples

- Merge

 $\begin{array}{l} H2: a \leq a0 \\ H3: sorted (a0::w) \\ IHI: sorted (a0::w) -> sorted (b::nil) -> \\ sorted (a0::merge (w,b::nil)) \\ eg: Zle_bool a0 \ b = true \end{array}$

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sorted (a::a0::merge (w, b::nil))
auto.

- More examples

Binary Search

Binary Search

```
Function search (bounds : Z*Z ){wf R bounds} :
option Z :=
  let (from,to) := bounds in
  if Zle bool from to
  then
   let m := middle from to in
     if Zeq_bool x (a m)
     then Some m
     else if andb (Zle_bool from (m-1)) (Zlt_bool x (a m))
       then search (from, m-1)
       else if Zle_bool (m +1) to
             then search (m+1, to)
              else None
 else None.
```

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More examples

-Binary Search

We want to prove, for instance, that if the array a is sorted from from to to, and search $a \times (from, to)$ returns None then x doesn't occur in a. More precisely :

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forall from to : Z, from \leq to -> sorted from to -> search (from, to) = None -> forall k : Z, from \leq k \leq to -> a k <> x - More examples

Binary Search

As for merge, the proof has the following main steps : Conversion of the statement into the following form :

Then do functional induction (search p).

- More examples

Binary Search

We have to solve some goals like the following one (where from $< m \le to$ and x < a(m))

```
H' : from < to
H : sorted from to
m := middle from to : Z
HO : search (from, m - 1) = None
H1 : from \leq k \leq to
 IHo : from \leq m - 1 -> sorted from (m - 1) ->
       search (from, m - 1) = None ->
       from < k < m - 1 \rightarrow a k <> x
H3 : from < m - 1
H4 : x < a m
m_1 : from < m < to
      _____
a k <> x
```

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More examples

Binary Search

Conclusion and exercises

- Complete the example on merge.
- (difficult) Prove that Zlt is not well-founded. Hint :
 - 1. Assume Zlt is well-founded,
 - Define the following function : Function loop (z:Z){wf Zlt z} : Z:= loop(z-1).

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- 3. Prove that for all z, loop z = 100p z + 1
- 4. Get a contradiction from all that.