

École de Recherche:

**Semantics and Tools for Low-Level  
Concurrent Programming**

ENS Lyon

# **Formal Verification Techniques for GPU Kernels**

## **Lecture 1**

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# The challenges of concurrency

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Let's consider a simple concurrent program with three threads:

A **data stream** thread which repeatedly writes random values to a memory location

A **sampler** thread which repeatedly reads from this memory location, printing the value that was read to the console

A **main** thread which launches the data stream and sampler threads

```
#include <stdio.h>
#include <pthread.h>
volatile int* volatile p = NULL;
void* dataStream(void* unused) {
    usleep(1);
    p = (int*)malloc(sizeof(int));
    while(1) {
        *p = rand();
    }
}
void* sampler(void* unused) {
    while(1) {
        printf("%d\n", *p);
    }
}
```

```
int main() {
    pthread_t dataStreamHandle;
    pthread_t samplerHandle;
    pthread_create(&dataStreamHandle, NULL, dataStream, NULL);
    pthread_create(&samplerHandle, NULL, sampler, NULL);
    pthread_join(dataStreamHandle, NULL);
    pthread_join(samplerHandle, NULL);
}
```

**volatile** necessary to tell the compiler that **p**, and/or its contents, are subject to change by other threads

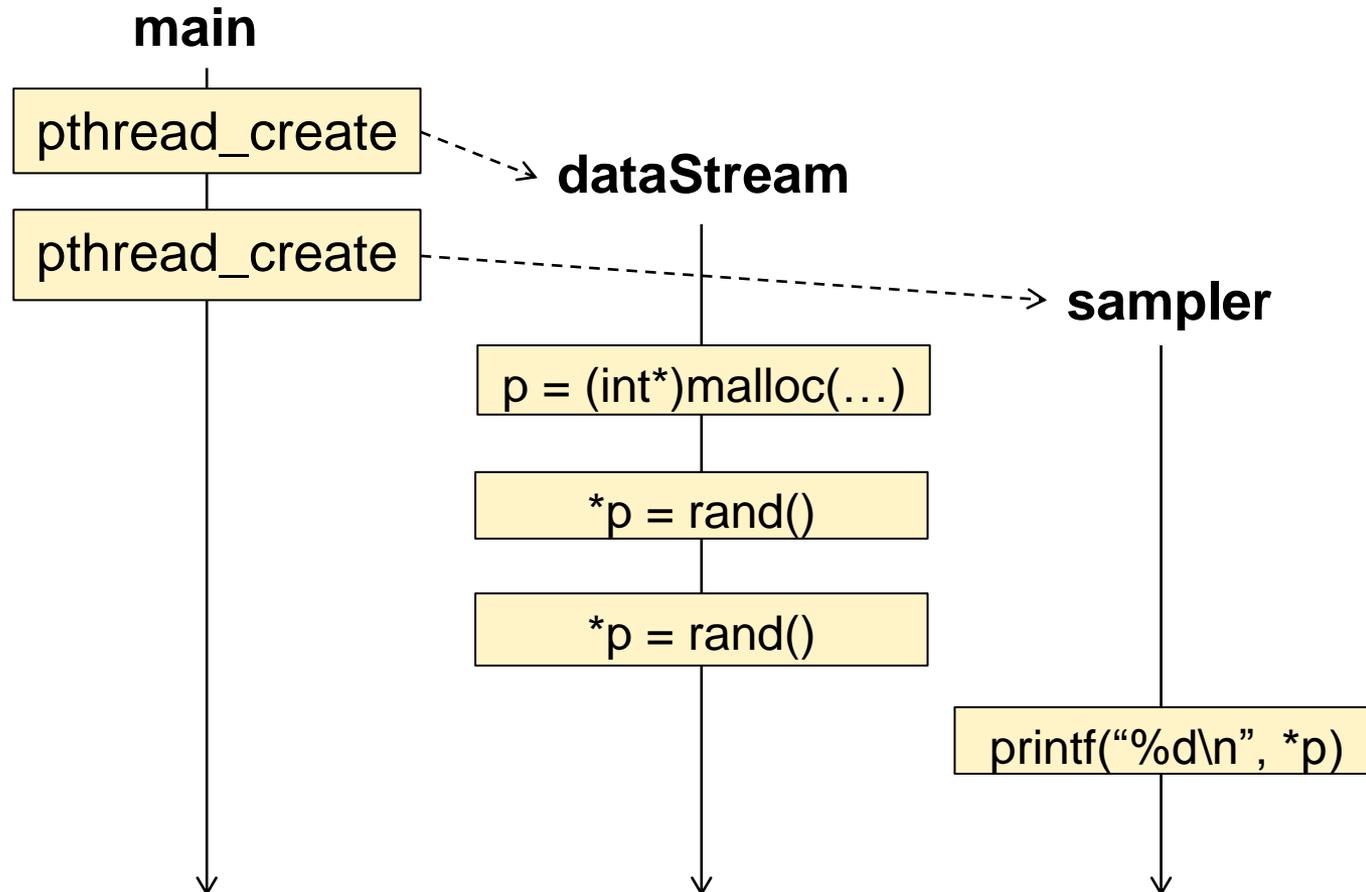
## What can go wrong with this program?

Possible for **sampler** to begin sampling **before p has been allocated**

**Big problem:** the bug does not always manifest! (Adding **usleep(...)** helps to expose it for illustration purposes)

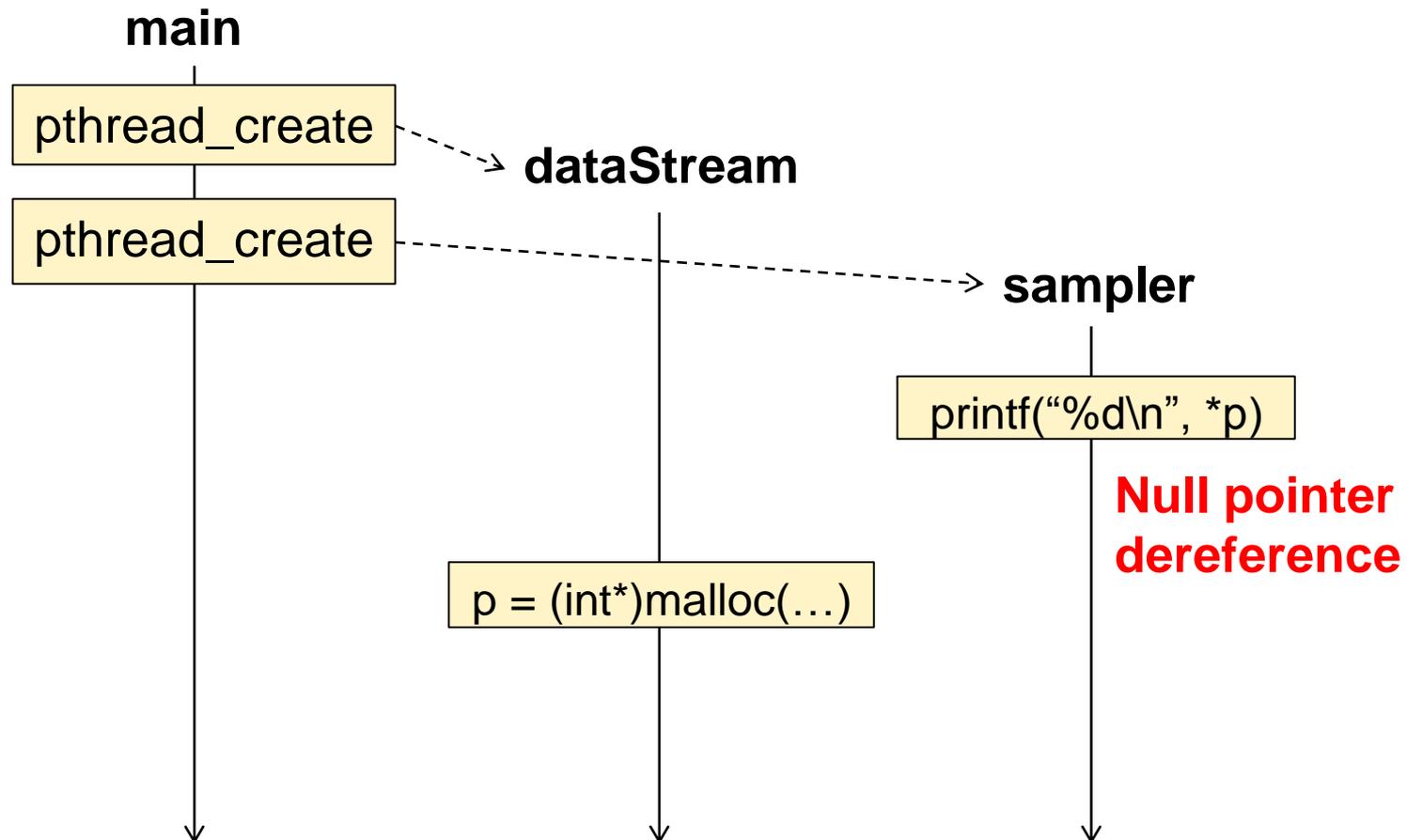
# Buggy behaviour depends on schedule

Program will not crash if `malloc` in `dataStream` happens before any `printf ("%d\n", *p)` in `sampler`



# Buggy behaviour depends on schedule

...but if `printf("%d\n", *p)` the program dereferences a null pointer



# Try it for yourself

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**Compile, then run many times:**

```
$ gcc -o main test.c
```

```
$ ./main
```

```
Segmentation fault (core dumped)
```

```
$ ./main
```

```
1270744533
```

```
1670651648
```

```
364481212
```

```
...
```

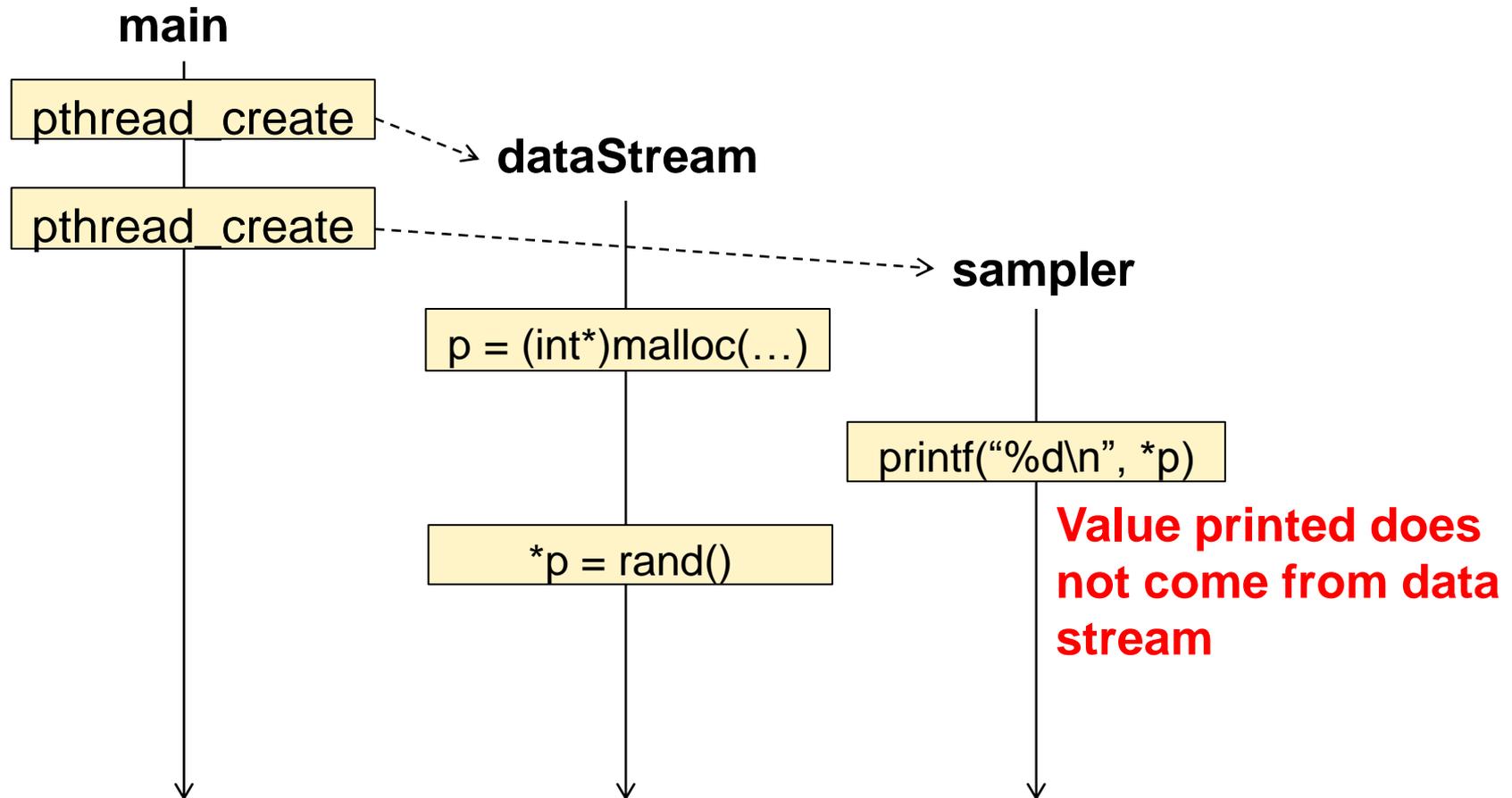
```
$ ./main
```

```
Segmentation fault (core dumped)
```

**etc.**

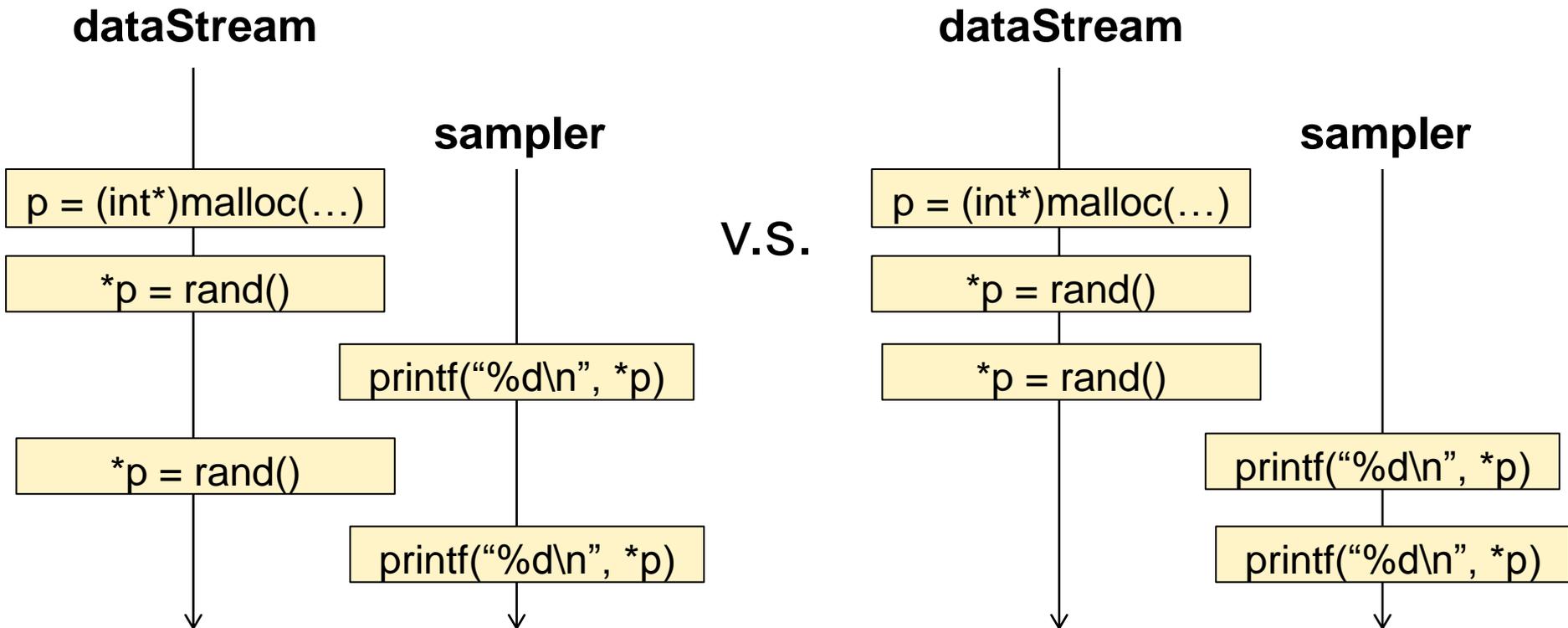
# Other issues related to the example:

Sampler may read from data stream after allocation but before a data value is written – **data race**:



# Other issues related to the example:

Which values are sampled depends on thread schedule:



...thus there are further data races on `*p`, but since sampling is random anyway these are **benign** – they do not matter

# Analysing concurrent programs: challenge

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Something simple like:

```
x = 0;  
x = x + 1;  
assert(x == 1);
```

**may not be correct** if **x** can be modified by other threads!

# Analysing concurrent programs: solutions

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Four main approaches have been explored by researchers:

## **Stress testing**

Run concurrent program 100s of times, injecting **random delays** so that a variety of schedules are exhibited

## **Schedule enumeration**

Run concurrent program using a **controlled scheduler**  
Systematically explore all possible schedules

## **Static concurrent program verification**

Extend program verification techniques (pre- and post-conditions, loop invariants) to take account of concurrency

## **Reducing concurrent program verification to sequential program verification**

Transform concurrent program into semantically equivalent **sequential program**, then apply existing techniques

# We will focus on:

---

## Reducing concurrent program verification to sequential program verification

Allows re-use of techniques for sequential program analysis which are **well understood**

Only works in restricted circumstances

We shall study an approach for reducing verification of concurrent programs to a sequential program verification task for a certain class of software: **GPU kernels**

# Graphics processing units (GPUs)

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Originally designed to accelerate graphics processing

GPU has many parallel processing elements: graphics operations on sets of pixels are **inherently parallel**

Early GPUs: limited functionality, tailored specifically towards graphics

Recently GPUs have become more powerful and general purpose. Widely used in parallel programming to accelerate tasks including:

**Medical imaging**

**Financial simulation**

**Computer vision**

**Computational  
fluid dynamics**

**DNA sequence  
alignment**

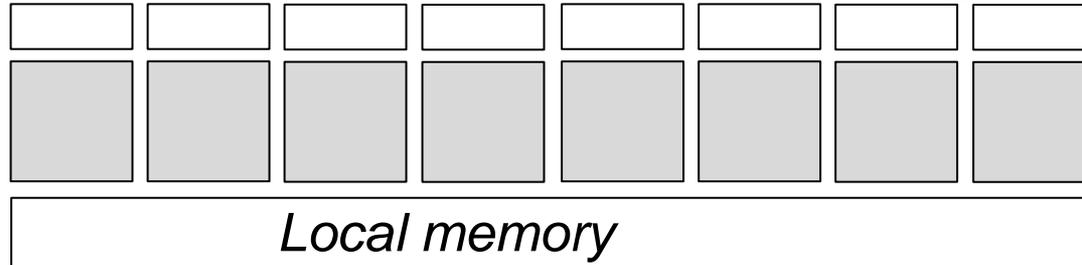
...and many  
more

# Graphics processing units (GPUs)

Many PEs

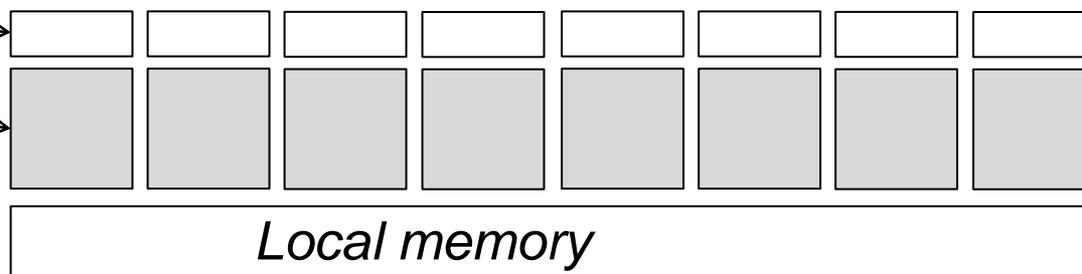
All PEs share  
global memory

Organised  
into groups

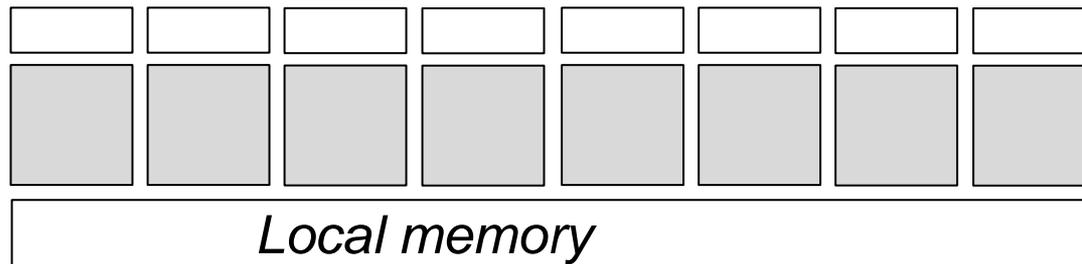


Global memory

Private memory →  
Processing  
element (PE) →



PEs in same  
group share  
memory



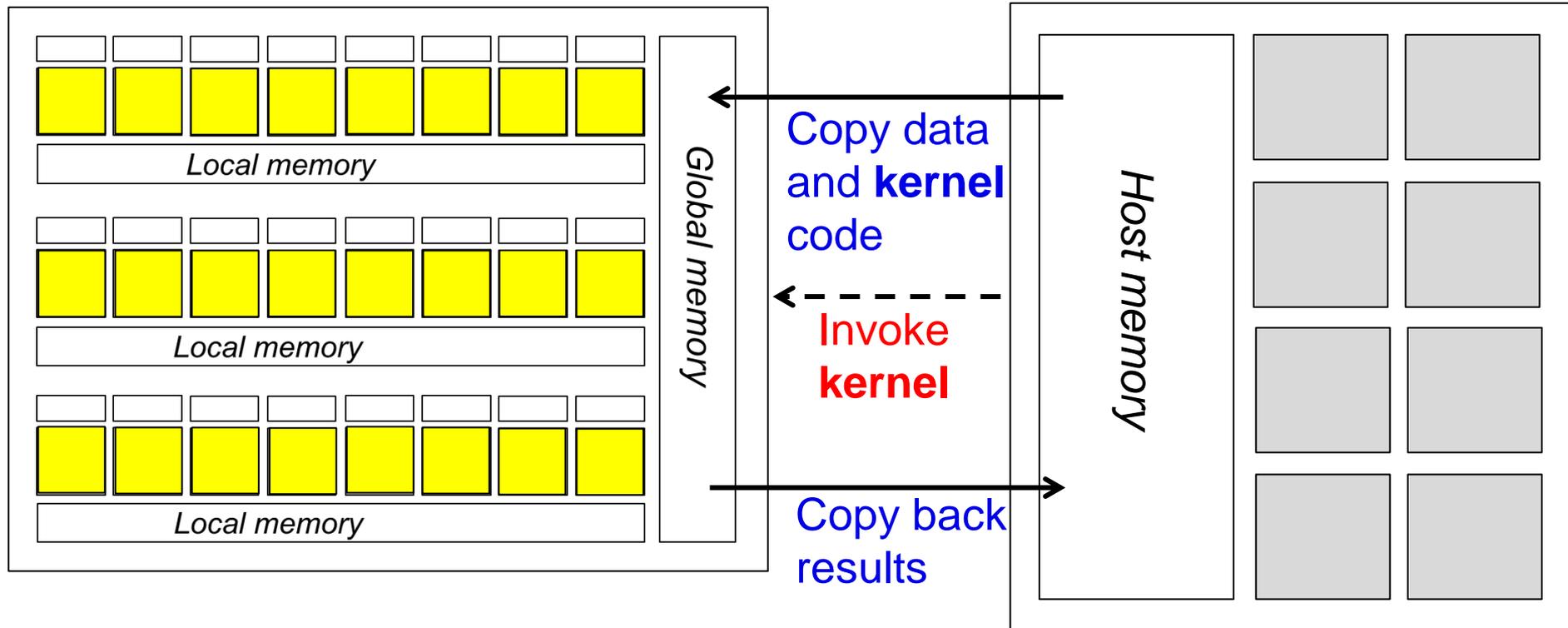
# GPU-accelerated systems

Host PC copies data and code into GPU memory

Code is a **kernel** function which is executed by each PE

**GPU**

**Host** (multicore PC)



# Data races in GPU kernels

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A **data race** occurs if:

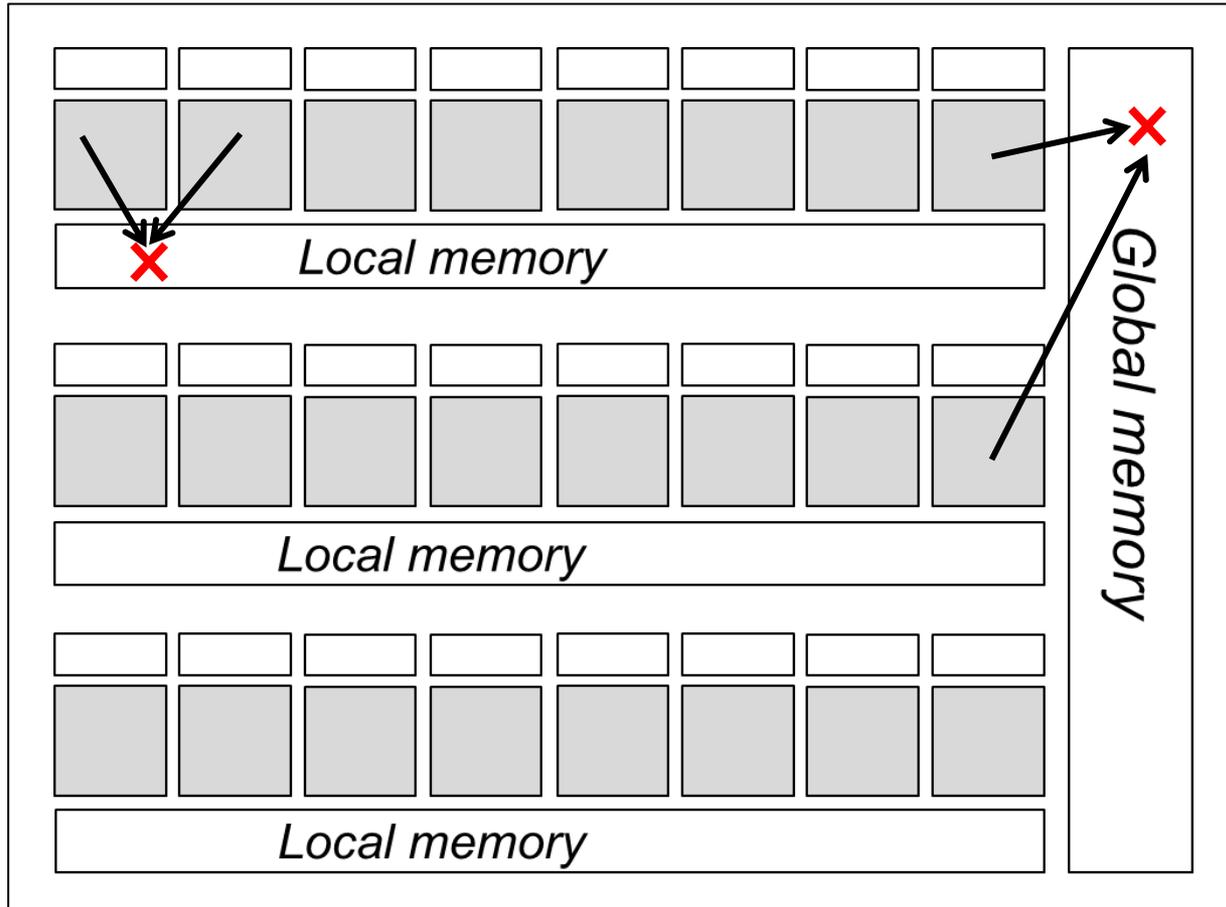
- two **distinct** threads access the **same** memory location
- at least one of the accesses is a **write**
- the accesses are **not** separated by a barrier synchronisation operation

More on this later



# Data races in GPU kernels

*Intra-group  
data race*



*Inter-group  
data race*

Lead to **all kinds of problems!**

Almost always **accidental** and **unwanted**: data races in GPU kernels are not usually benign

# Data races in GPU kernels

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We shall look at a technique for analysing whether a GPU kernel can exhibit data races

We shall restrict attention to **intra-group** data races

Henceforth, let's assume that all threads are in the same group

# GPU kernel example

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Indicates that function is the kernel's entry point

Indicates that **A** is an array stored in group's local memory

```
__kernel void  
add_neighbour(__local int* A, int offset) {  
    A[tid] = A[tid] + A[tid + offset];  
}
```

**Read/write data race**

Built-in variable which contains thread's id

Syntax used here is (more or less) OpenCL, an industry standard for multicore computing

All threads execute **add\_neighbour** – host specifies how many threads should run

# Illustration of data race

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```
__kernel void
add_neighbour(__local int* A, int offset) {
    A[tid] = A[tid] + A[tid + offset];
}
```

Suppose **offset == 1**

Thread 0: **reads** from **A[tid + offset]**, i.e., **A[1]**

Thread 1: **writes** to **A[tid]**, i.e., **A[1]**

Similar data races possible between other pairs of adjacent threads

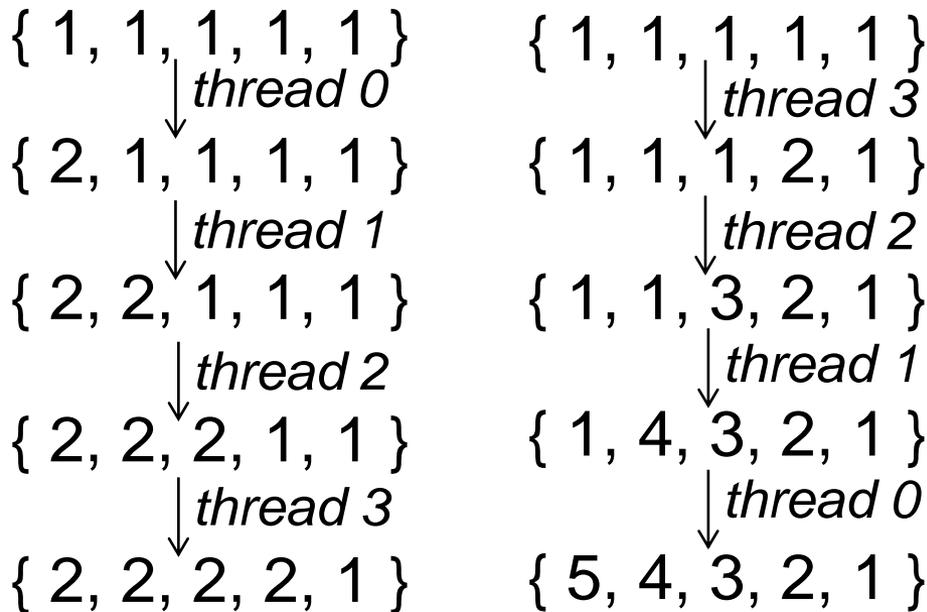
No guarantee about the **order** in which these accesses will occur

# Illustrating the effects of a data race

Suppose:

- **offset** == 1
- **A** initially contains { 1, 1, 1, 1, 1 }
- there are four threads

Let's see how A evolves for two particular schedules



**Completely  
different results!**

# Barrier synchronisation

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**barrier ( )**

Related to, but **different** from memory barrier in CPU instruction set

Used to **synchronise** threads

When a thread reaches **barrier()** it waits until **all** threads reach the barrier

Note: all threads must reach the same barrier – illegal for threads to reach different barriers

When all threads have reached the barrier, the threads can proceed past the barrier

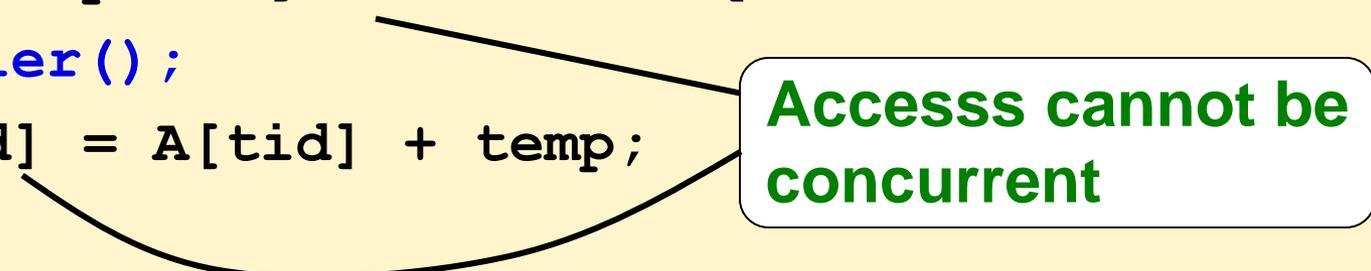
Reads and writes before the barrier are **guaranteed to have completed** after the barrier

# Using barrier to avoid a data race

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```
__kernel void  
add_neighbour(__local int* A, int offset) {  
    int temp = A[tid + offset];  
    barrier();  
    A[tid] = A[tid] + temp;  
}
```

**Accesss cannot be concurrent**

A callout box with a white background and a black border is positioned to the right of the code. It contains the text "Accesss cannot be concurrent" in green. Two black lines originate from the box: one points to the `barrier();` line in the code, and the other points to the `A[tid] = A[tid] + temp;` line. A curved black line also connects the two lines of code, highlighting the potential for a data race.

# Focussing data race analysis

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All threads are always executing in a region **between two barriers**:

...

```
barrier();
```

**Barrier-free  
code region**

Race may be due to two threads executing statements **within** the region

We **cannot** have a race caused by a statement in the region and a statement **outside** the region

```
barrier();
```

...

Data race analysis can be localised to focus on **regions between barriers**

# Reducing thread schedules

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With  $n$  threads, roughly how many possible thread schedules are there between these barriers, assuming each statement is atomic?

**barrier() ;**

$S_1 ;$

$S_2 ;$

...

$S_k$

**barrier() ;**

Total execution length is  $n \times k$

Thread 1 executes  $k$  statements:

$\binom{n \times k}{k}$  choices for these

Thread 2 executes  $k$  statements:

$\binom{(n-1) \times k}{k}$  choices for these

**etc.**

**Number of possible schedules:** in the order of  $n^k$

# Reducing thread schedules

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Do we really need to consider **all** of these schedules to detect data races?

**No:** actually it suffices to consider **just one schedule**, and it can be **any schedule**

# Any schedule will do! For example:

`barrier() ; // A`

↓ **Run** thread 0 from A to B

↓ **Log** all accesses

↓ **Run** thread 1 from A to B

↓ **Log** all accesses

↓ **Check** against thread 0

↓ **Run** thread 2 from A to B

↓ **Log** all accesses

↓ **Check** against threads 0 and 1

...

↓ **Run** thread  $N-1$  from A to B

↓ **Log** all accesses

↓ **Check** against threads  $0..N-2$

`barrier() ; // B`

If data race exists it will be detected: **abort**  
No data races: chosen schedule **equivalent to all others**

**Abort on race**

**Completely avoids** reasoning about interleavings!

# Reducing thread schedules

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Because we can choose a **single** thread schedule, we can view a barrier region containing **k** statements as a sequential program containing  $n \times k$  statements

**This is good:** it means we are back in the world of sequential program analysis

But in practice it is quite normal for a GPU kernel to be executed by e.g. 1024 threads

Leads to an **intractably large** sequential program

Can we do better?

# Yes: just two threads will do!

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Choose *arbitrary*  $i, j \in \{ 0, 1, \dots, N-1 \}$  with  $i \neq j$

`barrier() ; // A`

↓ **Run** thread  $i$  from A to B

↓ **Log** all accesses

↓ **Run** thread  $j$  from A to B

↓ **Check** all accesses against thread  $i$

↓ **Abort** on race

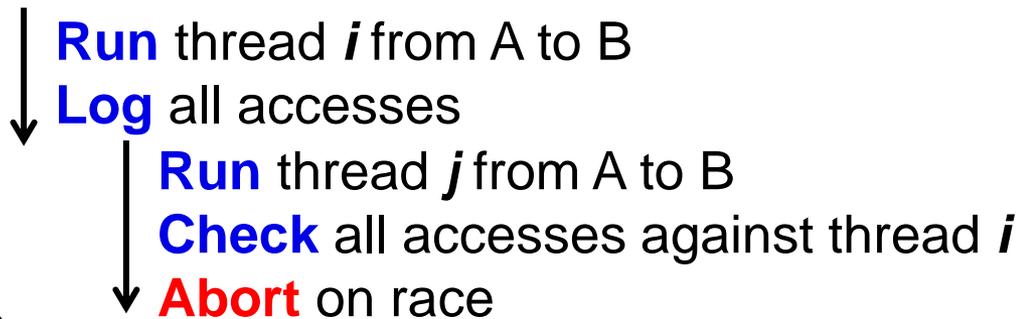
`barrier() ; // B`

If data race exists it will be exposed for **some** choice of  $i$  and  $j$ . If we can prove data race freedom for arbitrary  $i$  and  $j$  then the region must be data race free

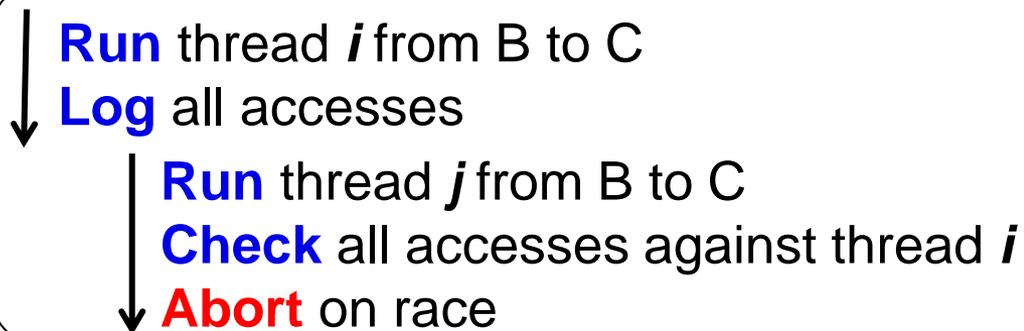
# Is this sound?

**havoc(x)** means “set  $x$  to an *arbitrary* value”

`barrier() ; // A`



`barrier() ; // B`



`barrier() ; // C`

**No:** it is as if only  $i$  and  $j$  exist, and other threads have no effect!

**Solution:** make shared state **abstract**

- simple idea: **havoc** the shared state at each barrier
- even simpler: remove shared state completely

# GPUVerify technique and tool

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**Exploit:**

- any schedule will do
- + two threads will do
- + shared state abstraction

to compile **massively parallel** kernel **K** into **sequential** program **P** such that (roughly):

**P correct**  
(no assertion failures)  $\Rightarrow$  **K** free from **data races**

Next: technical details of how this works

# Demo of GPUVerify

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Also try it yourself:

<http://multicore.doc.ic.ac.uk/tools/GPUVerify>

# Data race analysis for straight line kernels

Assume kernel has form:

```
__kernel void foo( <parameters, including __local arrays> ) {  
  <local variable declarations>  
  S1;  
  S2;  
  ...  
  Sk;  
}
```

where each statement  $S_i$  has one of the following forms:

**$x = e$**   
 **$x = A[e]$**   
 **$A[e] = x$**   
**barrier()**

where:

- $x$  denotes a local variable
- $e$  denotes an expression over local variables
- $A$  denotes a `__local` array parameter

# Data race analysis for straight line kernels

---

Restricting statements to these forms:

**$x = e$**

**$x = A[e]$**

**$A[e] = x$**

**`barrier()`**

where:

- **x** denotes a local variable
- **e** denotes an expression over local variables and **tid**
- **A** denotes a `__local` array parameter

means:

- A statement involves **at most one** load from / stores to local memory
- There is no conditional or loop code

Easy to enforce by pre-processing the code

We will drop this restriction later

# Our aim

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We want to translate kernel into sequential program that:

- Models execution of two arbitrary threads using some fixed schedule
- Detects data races
- Treats shared state abstractly

Call original GPU kernel **K**

Call resulting sequential program **P**

# Introducing two arbitrary threads

---

**K** has implicit variable **tid** which gives the id of a thread

Suppose **N** is the total number of threads

In **P**, introduce two global variables:

```
int tid$1;  
int tid$2;
```

and preconditions:

```
\requires 0 <= tid$1 && tid$1 < N;  
\requires 0 <= tid$2 && tid$2 < N;  
\requires tid$1 != tid$2;
```

← Threads must both  
be in range

← Threads must be  
different

...but otherwise the threads are arbitrary

# Race checking instrumentation

---

For each `__local` array parameter **A** in **K** introduce four global variables:

```
bool READ_HAS_OCCURRED_A;  
bool WRITE_HAS_OCCURRED_A;  
int READ_OFFSET_A;  
int WRITE_OFFSET_A;
```

We shall shortly discuss the purpose of these

and four procedures:

```
void LOG_READ_A(int offset);  
void LOG_WRITE_A(int offset);  
void CHECK_READ_A(int offset);  
void CHECK_WRITE_A(int offset);
```

We shall shortly discuss the implementation of these

Get rid of parameter **A** in **P**

# Example illustrating concepts so far:

## Form of **K**

```
__kernel void foo(__local int* A, __local int* B,
                  int idx) {
    ...
}
```

## Form of **P**

```
int tid$1;
int tid$2;

bool READ_HAS_OCCURRED_A;
bool WRITE_HAS_OCCURRED_A;
int READ_OFFSET_A;
int WRITE_OFFSET_A;

bool READ_HAS_OCCURRED_B;
bool WRITE_HAS_OCCURRED_B;
int READ_OFFSET_B;
int WRITE_OFFSET_B;

// \requires 0 <= tid$1 && tid$1 < N;
// \requires 0 <= tid$2 && tid$2 < N;
// \requires tid$1 != tid$2;
void foo(int idx) {
    ...
}
```

Ids for  
two  
threads

Instrumentation  
variables for **A**

Instrumentation  
variables for **B**

Constraining **tid\$1** and  
**tid\$2** to be arbitrary,  
distinct threads

**A** and **B**  
have gone

# Duplicating local variable declarations

Local variable declaration:

```
int x
```

duplicated to become:

```
int x$1;
```

```
int x$2;
```

Reflects fact that each thread has a copy of **x**

Non-array parameter declaration duplicated similarly.

Non-array parameter **x** initially assumed to be equal between threads: **requires x\$1 == x\$2**

Notation: for an expression **e** over local variables and **tid** we use **e\$1** to denote **e** with every occurrence of a variable **x** replaced with **x\$1**

**e\$2** is similar

E.g., if **e** is **a + tid - x**      **e\$2** is **a\$2 + tid\$2 - x\$2**

# Translating statements of **K**

Encode the statements of **K** for both threads using **round-robin schedule** for the two threads being modelled

Stmt	translate(Stmt)
<b>x = e;</b>	<b>x\$1 = e\$1;</b> <b>x\$2 = e\$2;</b>
<b>x = A[e];</b>	<b>LOG_READ_A(e\$1);</b> <b>CHECK_READ_A(e\$2);</b> <b>havoc(x\$1);</b> <b>havoc(x\$2);</b>

Log location from which first thread reads

Check read by second thread does not conflict with **any** prior write by first thread

Over-approximate effect of read by making receiving variables **arbitrary**

We have **removed** array **A**. Thus we over-approximate the effect of reading from **A** using **havoc**. We make no assumptions about what **A** contains

# Translating statements of K (continued)

Stmt	translate(Stmt)
<code>A[e] = x;</code>	<code>LOG_WRITE_A(e\$1);</code> <code>CHECK_WRITE_A(e\$2);</code> <code>// nothing</code>
<code>barrier();</code>	<code>barrier();</code>
<code>S;</code> <code>T;</code>	<code>translate(S);</code> <code>translate(T);</code>

Log location to which first thread writes

Check write by second thread does not conflict with **any** prior read or write by first thread

The write itself has no effect in because the array **A** has been removed

We shall give **barrier()** a special meaning in translated program

# Example so far:

```
__kernel void  
foo(__local int* A,  
    int idx) {
```

```
int x;
```

```
int y;
```

```
x = A[tid + idx];
```

```
y = A[tid];
```

```
A[tid] = x + y;
```

```
}
```

```
// \requires 0 <= tid$1 && tid$1 < N;  
// \requires 0 <= tid$2 && tid$2 < N;  
// \requires tid$1 != tid$2;  
// \requires idx$1 == idx$2;
```

```
void foo(  
    int idx$1; int idx$2) {
```

```
int x$1; int x$2;
```

```
int y$1; int y$2;
```

```
LOG_READ_A(tid$1 + idx$1);  
CHECK_READ_A(tid$2 + idx$2);  
havoc(x$1); havoc(x$2);
```

```
LOG_READ_A(tid$1);  
CHECK_READ_A(tid$2);  
havoc(y$1); havoc(y$2);
```

```
LOG_WRITE_A(tid$1);  
CHECK_WRITE_A(tid$2);
```

```
}
```