

Determinacy in a synchronous π -calculus

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Plan

- What is determinacy in interactive systems?
- The *synchronous* π -calculus.
- Results.

Towards a definition of determinacy

- If we run an '*experiment*' twice we always get the same 'result'.
- If P and P' are '*equivalent*' then one is determinate if and only if the other is.
- If P is determinate and we run an experiment then *the residual of P* after the experiment should still be determinate.

- We place this preliminary discussion in the context of a simple model such as *CCS*.
- Take *equivalent* to mean *weak bisimilar*.
- Take *experiment* to be a finite sequence of observable actions.

Ref Milner 89, Groote-Sellink 96, Philippou-Walker 97

A formal definition of determinacy

- Let $s = \ell_1 \cdots \ell_n$ be a finite word of *observable* actions.
- Define

$$\begin{aligned} P \xRightarrow{\epsilon} P' & \quad \text{if } P \xrightarrow{\tau} P' \\ P \xRightarrow{\ell_1 \dots \ell_n} P', n \geq 1 & \quad \text{if } P \xrightarrow{\ell_1} \dots \xrightarrow{\ell_n} P' \end{aligned}$$

- A process P is *determinate* if for any s ,

$$\frac{P \xrightarrow{s} P' \quad P \xrightarrow{s} P''}{P' \approx P''}$$

NB This definition entails invariance under internal reductions.

Wish list

We want more:

1. Manageable method to prove determinacy. For instance, *confluence* and even better *local confluence*.
2. Compositional and effective method to build deterministic systems. For instance, a *typing system*.

Confluence and Local Confluence

- A process P is *confluent* if for every *derivative* Q of P we have:

$$\frac{Q \xRightarrow{\alpha} Q_1 \quad Q \xRightarrow{\beta} Q_2 \quad \alpha \downarrow \beta}{\exists Q'_1, Q'_2 \ (Q_1 \xRightarrow{\beta \setminus \alpha} Q'_1 \quad Q_2 \xRightarrow{\alpha \setminus \beta} Q'_2 \quad Q'_1 \approx Q'_2)}$$

- A process P is *locally confluent* if for every *derivative* Q of P we have:

$$\frac{Q \xrightarrow{\alpha} Q_1 \quad Q \xrightarrow{\beta} Q_2 \quad \alpha \downarrow \beta}{\exists Q'_1, Q'_2 \ (Q_1 \xRightarrow{\beta \setminus \alpha} Q'_1 \quad Q_2 \xRightarrow{\alpha \setminus \beta} Q'_2 \quad Q'_1 \approx Q'_2)}$$

NB $\alpha \downarrow \beta$ and $\alpha \setminus \beta$ stand for *action compatibility* and *action residual*, respectively.

Facts in CCS

Call a process *reactive* if the τ reductions of every derivative always terminate.

- A confluent process is deterministic (converse fails).
- A reactive and locally confluent process is confluent (a kind of Newman lemma).

Rudimentary typing (sample)

- Let Γ be a set of observable actions.
- We write $\Gamma \vdash P$ if all the observable actions a derivative of P may perform belong to Γ .
- A typing rule for parallel composition:

$$\frac{\Gamma_1 \vdash P_1, \quad \Gamma_2 \vdash P_2, \quad \Gamma_1 \cap \Gamma_2 = \emptyset, \quad \Gamma_1 \cap \overline{\Gamma_2} \subseteq \{a_1, \dots, a_n\}}{(\Gamma_1 \cup \Gamma_2) \setminus \{a_1, \dots, a_n\} \vdash \nu a_1, \dots, a_n (P \mid Q)}$$

Fact in CCS A typable program is confluent.

The $S\pi$ -calculus: a *synchronous* π -calculus

Assume $v_1 \neq v_2$ are two distinct values and

$$P = \nu s_1, s_2 (\overline{s_1}v_1 \mid \overline{s_1}v_2 \mid \\ s_1(x). (s_1(y). (s_2(z). A(x, y) , B(!s_1)) \\ , 0) \\ , 0)$$

P is a π -calculus process if we forget about the **else branches of the read instructions**.

Ref Boussinot-De Simone 96, A. 05, A. 06

Spot the differences...

$$P = \nu s_1, s_2 (\overline{s_1}v_1 \mid \overline{s_1}v_2 \mid s_1(x). (s_1(y). (s_2(z). A(x, y) , B(!s_1)), 0), 0)$$

- In π , P reduces to

$$P_1 = \nu s_1, s_2 s_2(z).A(\sigma(x), \sigma(y))$$

where $\sigma(x), \sigma(y) \in \{v_1, v_2\}$ and $\sigma(x) \neq \sigma(y)$.

- In $S\pi$, *signals persist within the instant* and P reduces to

$$P_2 = \nu s_1, s_2 (\overline{s_1}v_1 \mid \overline{s_1}v_2 \mid (s_2(z).A(\sigma(x), \sigma(y)), B(!s_1)))$$

where $\sigma(x), \sigma(y) \in \{v_1, v_2\}$.

- In π , P_1 is now *deadlocked*.
- In $S\pi$, the *current instant ends* and we move to the following one

$$P_2 \xrightarrow{N} P'_2 = \nu s_1, s_2 \mathbf{B}(\ell)$$

where $\ell \in \{[v_1; v_2], [v_2; v_1]\}$ and N is the *next action*.

- Thus at the end of the instant, $!s_1$ becomes *a list of (distinct) values* emitted on s_1 during the instant.
- For this reason, $S\pi$ includes *lists has a primitive data structure*.

Deterministic programs: a cellular automaton

$$Cell(q, s, \ell) = Send(q, s, \ell, \ell)$$

$$Send(q, s, \ell, \ell') = [\ell' \geq \text{cons}(s', \ell'')] \ (\overline{s'}q \mid Send(q, s, \ell, \ell'')),$$
$$\text{pause.}Cell(\text{next}(q, !s), s, \ell)$$

Deterministic, assuming *next* is invariant under permutations of the list of states.

Deterministic programs: synchronous data flow

$$\xrightarrow{s_1} A \xrightarrow{s_2} C \xrightarrow{s_3} A \xrightarrow{s_4} B \xrightarrow{s_5} C \xrightarrow{s_6}$$

$$\nu s_2, s_3, s_4, s_5 (A(s_1, s_2, s_3, s_4) \mid B(s_4, s_5) \mid C(s_2, s_3, s_5, s_6))$$

$$A(s_1, s_2, s_3, s_4) = s_1(x).(\overline{s_2}f(x) \mid s_3(y).(\overline{s_4}g(y) \mid \text{pause}.A(s_1, s_2, s_3, s_4))), 0, 0$$

$$B(s_4, s_5) = s_4(x).(\overline{s_5}h(x) \mid \text{pause}.B(s_4, s_5)), 0$$

$$C(s_2, s_3, s_5, s_6) = s_2(x).(\overline{s_3}i(x) \mid s_5(y).(\overline{s_6}l(y)) \mid \text{pause}.C(s_2, s_3, s_5, s_6)), 0, 0$$

Deterministic, assuming at every instant at most one value is emitted on signal s_1 .

Deterministic programs: client server

$$Server(s) = \text{pause}.Handle(s, !s)$$

$$Handle(s, \ell) = [\ell \geq \text{cons}(\text{req}(s', x), \ell')] (\bar{s}' f(x) \mid Handle(s, \ell')), Server(s)$$

$$Client(x, s, t) = \nu s' (\bar{s}\text{req}(s', x) \mid \text{pause}.s'(x).\bar{t}x, 0)$$

Deterministic, assuming ??

Ref Mandel-Pouzet 05, Saraswat et al. 06, Edwards-Tardieu 07.

Results (informal)

We manage to follow the ‘CCS approach’ above. Some highlights:

- We find a *modified* labelled transition system that allows for a *standard* definition of bisimulation.
- In $S\pi$, *determinacy=confluence* and we have simple local confluence conditions that coupled with reactivity imply confluence.
- We design a typing system for analysing signal usage.

Modified lts and standard bisimulation

For diagram chasing, it is nice to have a *standard bisimulation*.

$$\frac{P \mathcal{R} Q, \quad P \xrightarrow{\alpha} P', \quad bn(\alpha) \cap fn(Q) = \emptyset}{\exists Q' \ (Q \xRightarrow{\alpha} Q', \quad P' \mathcal{R} Q')}$$

This is possible with a *modified lts*. The input rule is replaced by two:

$$\frac{}{s(x).P, K \xrightarrow{s?v} [v/x]P} \qquad \frac{}{P \xrightarrow{sv} (P \mid \bar{s}v)}$$

- The action $s?v$ is *not* observable. It is an *auxiliary* action needed to compute the internal synchronisation.
- The observable action is sv . Note that this action is always *enabled*.

Ref Honda-Yoshida 95, A.-Castellani-Sangiorgi 98

A simple condition for determinacy

- In the modified lts, (observable) *inputs* commute because they are always *enabled* and outputs commute because they are *persistent*.
- Then one just needs to check that τ -actions commute and N -actions commute.
- For instance, under reactivity, the following suffices to guarantee confluence: for all derivatives Q ,

$$\frac{Q \xrightarrow{\alpha} Q_1, \quad Q \xrightarrow{\alpha} Q_2, \quad \alpha \in \{\tau, N\}}{\exists Q_3, Q_4 \ (Q_1 \xrightarrow{\tau} Q_3, \quad Q_2 \xrightarrow{\tau} Q_4, \quad Q_3 \approx Q_4)}$$

Signal usage

A signal type, $Sig_u(\sigma)$, carries an information u on the signal *usage*.

- Start with $L = \{0, 1, \infty\}$ where $0 < 1 < \infty$.
- Refine into $x \in L^3$ for output, input, and input at the end of the instant.
- Further refine, into $u \in (L^3)^\omega$ for usage at instant $0, 1, 2, \dots$

Ref Kobayashi-Pierce-Turner 99, Kobayashi 02,...

Two main usages

For the time being we have focused on just *two main usages*.

$e^\omega, e = (\infty, 0, \infty)$ We can receive only at the end of the instant.

Moreover, the processing of the list recovered at the end of the instant must be order independent. We use *set types* to enforce this.

$o_1^\omega, o_1 = (1, \infty, \infty)$ At every instant, at most one emission is performed on the signal. To reason on this, we also rely on $o_1 o_0^\omega, o_0 o_1^\omega$, and o_0^ω , where $o_0 = (0, \infty, \infty)$.

Typing for the cellular automaton

$$\begin{aligned} \text{Cell}(q, s, \ell) &= \text{Send}(q, s, \ell, \ell) \\ \text{Send}(q, s, \ell, \ell') &= [\ell' \geq \text{cons}(s', \ell'')] \quad (\overline{s'q} \mid \text{Send}(q, s, \ell, \ell'')), \\ &\quad \text{pause. Cell}(\text{next}(q, !s), s, \ell) \end{aligned}$$

Assume an inductive type $State$ to represent the state of a cell and let $S_1 = \text{Sig}_{e^\omega}(State)$ and $L_1 = \text{List}(S_1)$. Then the program is typable, assuming:

$$\begin{aligned} \text{Cell} &: (State, S_1, L_1), & \text{Send} &: (State, S_1, L_1, L_1), \\ \text{next} &: (State, \text{Set}(State)) \rightarrow State \end{aligned}$$

Typing for the data flow

$$\nu s_2, s_3, s_4, s_5 (A(s_1, s_2, s_3, s_4) \mid B(s_4, s_5) \mid C(s_2, s_3, s_5, s_6))$$

$$A(s_1, s_2, s_3, s_4) = s_1(x).(\overline{s_2}f(x) \mid s_3(y).(\overline{s_4}g(y) \mid \text{pause}.A(s_1, s_2, s_3, s_4))), 0, 0$$

$$B(s_4, s_5) = s_4(x).(\overline{s_5}h(x) \mid \text{pause}.B(s_4, s_5)), 0$$

$$C(s_2, s_3, s_5, s_6) = s_2(x).(\overline{s_3}i(x) \mid s_5(y).(\overline{s_6}l(y)) \mid \text{pause}.C(s_2, s_3, s_5, s_6)), 0, 0$$

Assume an inductive type D of *data* and let $I = \text{Sig}_{o_0^\omega}(D)$ and $O = \text{Sig}_{o_1^\omega}(D)$. Then the program is typable assuming:

$$A : (I, O, I, O), \quad B : (I, O), \quad C : (I, O, I, O) .$$

(Problems with) Typing the client-server

$$Server(s) = \text{pause.Handle}(s, !s)$$

$$Handle(s, \ell) = [l \geq \text{cons}(\text{req}(s', x), \ell')] (\bar{s}' f(x) \mid Handle(s, \ell')), Server(s)$$

$$Client(x, s, t) = \nu s' (\bar{s}\text{req}(s', x) \mid \text{pause}.s'(x).\bar{t}x, 0)$$

- Assume an inductive type D of data and let

$$S_1 = Sig_u(D), \quad \text{req} : (Sig_u(D), D) \rightarrow Req, \quad S_2 = Sig_{e^\omega}(Req)$$

- Since there are many clients, we are forced to take $u = e^\omega$.

Then the server is typable as follows:

$$Server : (S_2), \quad Handle : (S_2, Set(Req))$$

- However the usage $u = e^\omega$ is incompatible with the programming of the client, as it can receive *during* the instant.
- It seems one needs more *usages* to type this.
- The client will get at most one reply on the return signal assuming that its request is *received at most once* and it is handled in a *linear way*.
- Thus, we need *many-to-one* usage and *linear inductive types*.