

Ott

Effective Tool Support for the Working Semanticist

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Problem: What is a Programming Language?

*A programming language is a **rather large** body of **new** and **somewhat arbitrary** mathematical notation introduced in the hope of making the problem of controlling computing machines somewhat simpler.*

Strachey, *Formal Language Description Languages*, 1964
(1st IFIP Working Conference)

Problem

Semantic definitions of programming languages are:

- (a) vitally necessary
- (b) (i) rather easy, for a little calculus (e.g. untyped λ)
(ii) for a full-scale programming language, rather tricky.

Almost *no* full-scale languages have complete definitions, still!

Standard ML (1990)

Java? C#? Haskell? OCaml?

Why? Partly because the *metalanguages* we have for writing semantics make it much harder than necessary.

Available metalanguages

Option 1: \LaTeX , the (usual) metalanguage of choice for informal maths

✓ production-quality typesetting

but, at this scale (100s of pages or 10 000 lines of definition):

- ✗ syntactic overhead of \LaTeX markup — prohibitive obfuscation
- ✗ no automatic checking of sanity properties
- ✗ no support for conformance checking
- ✗ informal proof is unreliable and hard to maintain

(we tried this for Acute — an 80 page defn — with rudimentary tool support)

```
\begin{lemma}[Inversion -- expressions]
```

```
~\ \ \vspace*{-3ex}
```

```
\begin{enumerate}[1.]
```

```
\item If  $\text{\Actx}\{\text{\AntConSet}_0\}\{\text{\Gamma}\}$ 
```

```
     $\vdash_{\text{\updVar}} \text{\Lrecord}\{\text{\Llabel}\{1\}_1=e_1, \text{\dots}, \text{\Llab}$ 
```

```
    :  $\text{\Atype}\{\text{\Lrecord}\{\text{\Llabel}\{1\}_1:\text{\tau}_1, \text{\dots}, \text{\Llabel}\{$ 
```

```
    then
```

```
     $\exists \text{\tau}_1', \text{\dots}, \text{\tau}_n', \text{\AntConSet}_1', \text{\dots}, \text{\AntCon}$ 
```

```
     $\forall i \in 1..n$  we have  $\text{\Gamma} \vdash \text{\tau}_i' <: \text{\tau}_i$ 
```

```
     $\text{\Actx}\{\text{\AntConSet}_{i-1}'\}\{\text{\Gamma}\}$ 
```

```
     $\vdash_{\text{\updVar}} e_i$ 
```

```
    :  $\text{\Atype}\{\text{\tau}_i'\}\{\text{\AntConSet}_i'\}$ $
```

```
\item If  $\text{\Actx}\{\text{\AntConSet}_0\}\{\text{\Gamma}\} \vdash_{\text{\updVar}} e_1 \ ; \ e_2$ 
```

```
    then  $\exists \text{\tau}_2', \text{\AntConSet}_1, \text{\AntConSet}_2, \hat{\text{\AntConSet}}$ 
```

```
     $\text{\Actx}\{\text{\AntConSet}_0\}\{\text{\Gamma}\} \vdash_{\text{\updVar}} e_1 : \text{\Atype}\{\text{\tau}_2'\}$ 
```

```
    and
```

Available metalanguages

Option 1: \LaTeX , the (usual) metalanguage of choice for informal maths

✓ production-quality typesetting

but, at this scale (100s of pages or 10 000 lines of definition):

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(we tried this for *Acute* — an 80 page defn — with rudimentary tool support)

Available metalanguages

Option 2: **Coq/HOL/Isabelle/Twelf**, for formal mathematics.

✓ automatic checking of sanity properties, and of proofs

but

- ✗ limited typesetting (maintain both in sync?)
- ✗ syntactic noise (hard to read & edit the sources)
- ✗ nontrivial encodings (subgrammars, binding, limited inductive defns,...)
- ✗ steep learning curves
- ✗ community partition

Both make it hard to *re-use* definitions, or *compose* from fragments.

Our Solution

A metalanguage specifically designed for writing semantic definitions, and a tool, `ott` that:

- takes an ASCII definition of a **language syntax and semantics**, supporting good concise notation — close to what we would write in informal mathematics;
- compiles it into **L^AT_EX**, **Coq**, **HOL**, and **Isabelle** versions of the definition, and OCaml boilerplate code (a Twelf backend is under development);
- builds a parser and pretty-printer (into L/C/H/I) for symbolic and concrete terms of the defined language.
- supports an expressive (but still simple and intuitive) language for specifying binding structures.

Tested With Substantial Case Studies

- (1) small lambda calculi: untyped, simply typed (*), and with ML polymorphism, all CBV;
- (2) TAPL systems — booleans, naturals, functions, base types, units, seq, ascription, lets, fix, products, sums, tuples, records, variants; (*)
- (3) Leroy's JFP module system, with a term language and operational semantics [Scott];
- (4) combination of separation logic with rely-guarantee reasoning [Matt];
- (5) Lightweight Java (*), and Java module system proposals based on JSR 277 and JSR 294 (~140 semantic rules) [Rok]; and
- (6) a large fragment of OCaml (~265 semantic rules) (*)

Part 1: Introduction: The Dream

Part 2: Metalanguage overview

Part 3: Compilation to proof assistant code

Part 4: Binding specifications

Part 5: Case studies

Part 6: Conclusion

Example: Untyped CBV λ , in ott

termvar, x term variable

$t ::=$ term

	x	variable
	$\lambda x . t$	bind x in t lambda
	$t t'$	app

$v ::=$ value

	$\lambda x . t$	lambda
--	-----------------	--------

$t_1 \longrightarrow t_2$ t_1 reduces to t_2

$$\frac{}{(\lambda x . t_{12}) v_2 \longrightarrow \{v_2 / x\} t_{12}} \text{ AX}$$

$$\frac{t_1 \longrightarrow t'_1}{t_1 t \longrightarrow t'_1 t} \text{ CTXL}$$

$$\frac{t_1 \longrightarrow t'_1}{v t_1 \longrightarrow v t'_1} \text{ CTXR}$$

What You Write: Core

```
metavar termvar, x ::=
```

```
grammar
```

```
t :: 't_' ::=
```

```
| x           ::      :: Var
| \ x . t     ::      :: Lam
| t t'        ::      :: App
| ( t )      :: M    :: Paren
| { t / x } t' :: M    :: Tsub
```

```
v :: 'v_' ::=
```

```
| \ x . t     ::      :: Lam
```

```
subrules v <:: t
```

```
defns Jop :: ::=
```

```
  defn t1 --> t2 :: :: reduction :: by
```

$\frac{}{(\lambda x.t1) v2 \rightarrow \{v2/x\}t1} :: ax$	$\frac{t1 \rightarrow t1'}{v t1 \rightarrow v t1'} :: ctxL$	$\frac{t1 \rightarrow t1'}{t1 t \rightarrow t1' t} :: ctxR$
---	---	---

What's going on?

That can already be parsed, sanity-checked, and generate default typesetting.

No built-in assumptions on the form of the syntax or semantics — the tool simply lets you define syntax and relations over it.

Basic entities: metavariables, nonterminals, terminals (implicit), and judgements

The grammar specifies

- the concrete syntax of object-language terms
- the abstract syntax for proof assistant representations
- the *symbolic terms* used in semantic rules

Context-free grammar.

What's going on?

Consider that innocent-looking CBV rule

$$\frac{}{(\lambda x. t_{12}) v_2 \rightarrow \{v_2/x\}t_{12}} :: \text{ax_app}$$

- symbolic metavariables (x) and nonterminals (t_{12} , v_2), built from roots (x , t , v) and structured suffixes (primes, indices).
- rigid naming convention finds errors and disambiguates
- subtype relation, declared `subrules v <:: t`, and checked, allowing v_2 to appear in a t position.
- metasyntax for parentheses and substitution (`M` productions)
- syntax for judgement forms $t_1 \rightarrow t_2$ and formulae
- parsing with scannerless memoized CPS'd parser combinators
- find all parses, flag error if ≥ 1

L^AT_EX eye-candy

```
metavar termvar, x ::= {\tex \mathit{[[termvar]]}}
```

grammar

```
t ::= 't_' ::=
  | x           :: Var
  | \ x . t     :: Lam
  | t t'       :: App
  | ( t )      :: M Paren
  | { t / x } t' :: M Tsub
```

```
v ::= 'v_' ::=
  | \ x . t     :: Lam
```

subrules v <:: t

defns Jop :: ::=

```
defn t1 --> t2 :: reduction :: by
```

:: ax	:: ctxL	:: ctxR
(\x.t1) v2 --> {v2/x}t1	v t1 --> v t1'	t1 t --> t1' t

```
terminals ::= 'terminals_' ::=
```

```
| \      :: lambda {\tex \lambda }
```

```
| -->   :: red {\tex \longrightarrow }
```

L^AT_EX comments

```
metavar termvar, x ::= {\mathit{[[termvar]]}} {com term variable}
```

grammar

```
t ::= 't_' ::= {com term }  
  | x           ::      :: Var      {com variable}  
  | \ x . t     ::      :: Lam      {com lambda }  
  | t t'        ::      :: App      {com app }  
  | ( t )       :: M   :: Paren  
  | { t / x } t' :: M   :: Tsub
```

```
v ::= 'v_' ::= {com value }  
  | \ x . t     ::      :: Lam      {com lambda }
```

subrules $v <:: t$

defns Jop :: ::=

```
defn t1 --> t2 :: :: reduction :: {com [[t1]] reduces to [[t2]]} by
```

$\frac{}{(\lambda x.t1) v2 \rightarrow \{v2/x\}t1} \quad :: \text{ax}$	$\frac{t1 \rightarrow t1'}{v t1 \rightarrow v t1'} \quad :: \text{ctxL}$	$\frac{t1 \rightarrow t1'}{t1 t \rightarrow t1' t} \quad :: \text{ctxR}$
--	--	--

already enough to generate decent typesetting

$termvar, x$	term variable	
$t ::=$		term
	x	variable
	$\lambda x . t$ bind x in t	lambda
	$t t'$	app
$v ::=$		value
	$\lambda x . t$	lambda
$t_1 \longrightarrow t_2$	t_1 reduces to t_2	
	$\frac{}{(\lambda x . t_{12}) v_2 \longrightarrow \{v_2 / x\} t_{12}} \quad \text{AX}$	
	$\frac{t_1 \longrightarrow t'_1}{t_1 t \longrightarrow t'_1 t} \quad \text{CTXL}$	
	$\frac{t_1 \longrightarrow t'_1}{v t_1 \longrightarrow v t'_1} \quad \text{CTXR}$	

and \LaTeX filtering, e.g. writing $[(\backslash x . \backslash x' . t1)t2]$ in \LaTeX source

Binding

metavar termvar, x ::=

grammar

t :: 't_' ::=

| x :: Var

| \ x . t :: Lam (+ bind x in t +)

| t t' :: App

| (t) :: M :: Paren

| { t / x } t' :: M :: Tsub

v :: 'v_' ::=

| \ x . t :: Lam

subrules v <:: t

defns Jop :: ::=

defn t1 --> t2 :: :: reduction ::

by

----- :: ax
(\x.t1) v2 --> {v2/x}t1

t1 --> t1'
----- :: ctxL
v t1 --> v t1'

t1 --> t1'
----- :: ctxR
t1 t --> t1' t

Making it mean something: Coq, HOL, and Isabelle

```
metavar termvar, x ::=
```

```
{ { isa string } } { { coq nat } } { { hol string } } { { coq-equality } }
```

```
grammar
```

```
t :: 't_' ::=
```

```
| x          ::      :: Var
```

```
| \ x . t    ::      :: Lam (+ bind x in t +)
```

```
| t t'      ::      :: App
```

```
| ( t )     :: M    :: Paren
```

```
{ { ich [[t]] } }
```

```
| { t / x } t' :: M    :: Tsub
```

```
{ { ich (tsubst_t [[t]] [[x]] [[t']]) } }
```

```
v :: 'v_' ::=
```

```
| \ x . t    ::      :: Lam
```

```
subrules v <:: t
```

```
substitutions single t x :: tsubst
```

```
defns Jop :: ::=
```

```
defn t1 --> t2 :: :: reduction ::
```

```
by
```

```
----- :: ax  
(\x.t1) v2 --> {v2/x}t1
```

```
t1 --> t1' ----- :: ctxL  
v t1 --> v t1'
```

```
t1 --> t1' ----- :: ctxR  
t1 t --> t1' t
```

Key Idea

Users define not just the concrete/abstract syntax of the object language, but syntax used in semantic rules, including

- **metaproductions**

```
t :: 't_' ::=
```

```
...
```

```
| ( t )      :: M :: Paren {{ ich [[t]]  }}
```

```
| { t / x } t'  :: M :: Tsub  {{ ich (tsubst_t [[t]] [[x]] [[t']) }}
```

- and productions of a **formula grammar** (by default, just the judgement forms).

The **homs** say what these mean — clauses of primitive recursive functions from symbolic terms to the character-strings of generated \LaTeX /Coq/HOL/Isabelle code.

This (and **subrules**) means the metalanguage can be relatively simple.

Similarly for productions, and \LaTeX . For example, for $F_{<}$: you could annotate:

```
| \ x : T . t      :: :: Lam
```

```
{ { tex \lambda [[x]] \mathord{:} [[T]] . \, [[t]] }
```

```
| \ X <: T . t    :: :: TLam
```

```
{ { tex \Lambda [[X]] \mathord{<:} [[T]] . \, [[t]] }
```

to typeset terms such as $(\backslash X <: T_1 . \backslash x : X . t_2)$ $[T_2]$ as

$(\Lambda X <: T_{11} . \lambda x : X . t_{12}) [T_2]$.

Similarly for **type homs** to use non-free proof assistant types.

What you get: code for our favourite theorem prover

```
Definition termvar_t := nat.
```

```
Lemma eq_termvar_t: forall (x y : termvar_t), {x = y} + {x <> y}. Proof. decide equality. Defined.
```

```
Inductive t_t : Set :=
```

```
  t_Var : termvar_t -> t_t
```

```
  | t_Lam : termvar_t -> t_t -> t_t
```

```
  | t_App : t_t -> t_t -> t_t.
```

```
Definition is_v (t0:t_t) : Prop :=
```

```
  match t0 with
```

```
  | (t_Var x) => False
```

```
  | (t_Lam x t) => (True)
```

```
  | (t_App t t') => False end.
```

```
Fixpoint tsubst_t (t0:t_t) (termvar0:termvar_t) (t1:t_t) {struct t1} : t_t :=
```

```
  match t1 with
```

```
  | (t_Var x) => if eq_termvar_t x termvar0 then t0 else (t_Var x)
```

```
  | (t_Lam x t) => t_Lam x (if list_mem eq_termvar_t termvar0 (cons x nil) then t else (tsubst_t t0 termvar0 t))
```

```
  | (t_App t t') => t_App (tsubst_t t0 termvar0 t) (tsubst_t t0 termvar0 t') end.
```

```
Inductive E : t_t -> t_t -> Prop :=
```

```
  | ax : forall v2 x t12, is_v v2 -> E (t_App T v2) ( tsubst_t v2 x t12 )
```

```
  | ctxL : forall t1 t1' t, E t1 t1' -> E (t_App t1 t) (t_App t1' t)
```

```
  | ctxR : forall t1 v t1', is_v v -> E t1 t1' -> E (t_App v t1) (t_App v t1').
```

What you get: code for another theorem prover (Isa)

```
theory out = Main:
types termvar = "string"

datatype t =
  t_Var "termvar"
| t_Lam "termvar" "t"
| t_App "t" "t"

consts is_v :: "t => bool"
primrec
"is_v ((t_Var x)) = False"
"is_v ((t_Lam x t)) = (True)"
"is_v ((t_App t t')) = False"

consts tsubst_t :: "t => termvar => t => t"
primrec
"tsubst_t t0 termvar0 (t_Var x) = (if x=termvar0 then t0 else (t_Var x))"
"tsubst_t t0 termvar0 (t_Lam x t) = (t_Lam x (if termvar0 mem [x] then t else (tsubst_t t0 termvar0 t)))"
"tsubst_t t0 termvar0 (t_App t t') = (t_App (tsubst_t t0 termvar0 t) (tsubst_t t0 termvar0 t'))"

consts E :: "(t*t) set" inductive E
intros
axI: "[|is_v v2|] ==> ( (t_App T v2) , ( tsubst_t v2 x t12 ) ) : E"
ctxLI: "[| ( t1 , t1' ) : E|] ==> ( (t_App t1 t) , (t_App t1' t) ) : E"
ctxRI: "[|is_v v ; ( t1 , t1' ) : E|] ==> ( (t_App v t1) , (t_App v t1') ) : E"
end
```

What you get: code for yet another theorem prover (HOL)

```
val _ = new_theory "out";

val _ = type_abbrev("termvar", ``:string``);
val _ = Hol_datatype `
  t = t_Var of termvar
    | t_Lam of termvar => t
    | t_App of t => t `;

val _ = ottDefine "is_v_of_t" `
  ( is_v_of_t (t_Var x) = F)
/\ ( is_v_of_t (t_Lam x t) = (T))
/\ ( is_v_of_t (t_App t t') = F) `;

val _ = ottDefine "tsubst_t" `
  ( tsubst_t t5 x5 (t_Var x) = (if x=x5 then t5 else (t_Var x)))
/\ ( tsubst_t t5 x5 (t_Lam x t) = t_Lam x (if MEM x5 [x] then t else (tsubst_t t5 x5 t)))
/\ ( tsubst_t t5 x5 (t_App t t') = t_App (tsubst_t t5 x5 t) (tsubst_t t5 x5 t')) `;

val (Jop_rules, Jop_ind, Jop_cases) = Hol_reln `
  (* ax *) !(x:termvar) (t12:t) (v2:t) .
  ((is_v_of_t v2))
  ==>
  ( ( reduce (t_App (t_Lam x t12) v2) ( tsubst_t v2 x t12 ) )))

/\ ...

val _ = export_theory ();
```

...etc

Lists: a more typical example rule, from Not-so-mini-Caml

$$E \vdash e_1 : t_1 \quad \dots \quad E \vdash e_n : t_n$$
$$E \vdash \text{field_name}_1 : t \rightarrow t_1 \quad \dots \quad E \vdash \text{field_name}_n : t \rightarrow t_n$$
$$t = (t'_1, \dots, t'_l) \text{ typeconstr_name}$$
$$E \vdash \text{typeconstr_name} \triangleright \text{typeconstr_name} : \text{kind} \{ \text{field_name}'_1 ; \dots ; \text{field_name}'_m \}$$
$$\text{field_name}_1 \dots \text{field_name}_n \text{ PERMUTES } \text{field_name}'_1 \dots \text{field_name}'_m$$
$$\text{length}(e_1) \dots (e_n) \geq 1$$

$$E \vdash \{ \text{field_name}_1 = e_1 ; \dots ; \text{field_name}_n = e_n \} : t$$
$$E \vdash e_1 : t_1 \quad \dots \quad E \vdash e_n : t_n$$
$$E \vdash \text{field_name}_1 : t \rightarrow t_1 \quad \dots \quad E \vdash \text{field_name}_n : t \rightarrow t_n$$
$$t = (t'_1, \dots, t'_l) \text{ typeconstr_name}$$
$$E \vdash \text{typeconstr_name} \text{ gives } \text{typeconstr_name} : \text{kind} \{ \text{field_name}'_1 ; \dots ; \text{field_name}'_m \}$$
$$\text{field_name}_1 \dots \text{field_name}_n \text{ PERMUTES } \text{field_name}'_1 \dots \text{field_name}'_m$$
$$\text{length}(e_1) \dots (e_n) \geq 1$$

$$E \vdash \{ \text{field_name}_1 = e_1 ; \dots ; \text{field_name}_n = e_n \} : t$$

Lists: symbolic terms involving projection and concatenation

$t ::= 't_-' ::=$ {{ com term }}
 $| \{ l_1 = t_1, \dots, l_n = t_n \} ::=$ Rec {{ com record }}

$$\frac{}{\{ l'_1 = v_1, \dots, l'_n = v_n \} . l'_j \longrightarrow v_j} \text{ PROJ}$$

$$\frac{t \longrightarrow t'}{\{ l_1 = v_1, \dots, l_m = v_m, l = t, l'_1 = t'_1, \dots, l'_n = t'_n \} \longrightarrow \{ l_1 = v_1, \dots, l_m = v_m, l = t', l'_1 = t'_1, \dots, l'_n = t'_n \}} \text{ REC}$$

Comprehensions — with explicit index i and bounds 0 to $n - 1$

$$\frac{\Gamma \vdash t : \{ \overline{l_i : T_i}^{i \in 0..n-1} \}}{\Gamma \vdash t . l_j : T_j} \text{ PROJ}$$

or with $1..n$, unspecified, or upper-only bounds)

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So what does that mean?

the language definition in your favorite prover

```
ott -isabelle test10.thy -coq test10.v -hol test10Script.sml test10.ott
```

Compile to proof assistant definitions of:

- Types
- Subrule predicates
- Auxiliary functions (from bindspecs)
- Free variable functions
- Substitutions
- Inductive definitions of judgements

Aim to be: (a) well-formed, without dangling proof obligations, (b) idiomatic — a good basis for later proof. Don't want to have to edit the generated code!

Types

- **type abbrevs** for metavariables and non-free rules (with type homomorphisms);

```
types termvar = "string"
```

(not so simple — Coq equality)

- **free types** for other rules;

```
datatype
```

```
t =
```

```
  t_Var "termvar"
```

```
  | t_Lam "termvar" "t"
```

```
  | t_App "t" "t"
```

(n.s.s. — only for the top elements of the subrule order)

(n.s.s. — take care of p.a. lexical conventions and reserved words (e.g. 't'))

- **dependency analysis** and topological sort (trivial for this example)

(n.s.s. — watch out for rules with type homomorphisms)

Subrules

$$\frac{}{(\backslash x.t12) v2 \dashrightarrow \{v2/x\}t12} :: \text{ax}$$
$$\frac{t1 \dashrightarrow t1'}{v t1 \dashrightarrow v t1'} :: \text{ctxL}$$
$$\frac{t1 \dashrightarrow t1'}{t1 t \dashrightarrow t1' t} :: \text{ctxR}$$

- Declare **subrule relationship** $v <:: t$ in source;
- Ott checks that it holds (for all productions of rules on left of $<::$, exists a production of upper bound which — up to $<::$ — is a superproduction);
- *representation choice*:
 1. generate separate type with injections, or
 2. **generate is_v predicates etc.**
- so generate the definition of is_v
 - In general more complex — eg if expr isn't free.
- then, anyplace where we use a nonterm of a sub-type in a rule, we **add a premise is_v** .

Subrules (n.s.s.)

Generated Isabelle:

```
consts
is_v_of_t :: "t => bool"

primrec
"is_v_of_t (t_Var x) = (False)"
"is_v_of_t (t_Lam x t) = ((True))"
"is_v_of_t (t_App t t') = (False)"
```

- Coq Definition/Fixpoint; HOL ottDefine; Isabelle primrec
- for each non-free type, and following dependencies
- define by pattern matching (pp of canonical symterm of production)
- each clause is a disjunction across subproductions, of a conjunction across subterms

Subrules (really n.s.s.)

1) Isabelle `primrec` can't cope with nested patterns. Tuples are nested pairs, so for \geq ternary constructors, need to define extra functions.

2) If `foo < :: t`, `baz < :: t`, and `t`, `foo`, and `baz` mention each other, need multiple different functions over the same type
(`is_foo, is_baz : t -> bool`).

Isabelle `primrec` can't do that, so must do tuple encoding, define intended functions by projections, generate statements of characterisation lemmas, and generate (trivial) proof scripts.

Inductive definition rules

Coq: `Inductive`, HOL: `Hol_reln`, Isa: `inductive`.

Use explicit mutual recursion structure from the user.

Each definition rule gives rise to an implication, that the premises (Ott formulas) imply the conclusion (an Ott symbolic term of the judgement being defined).

```
Inductive reduce : t -> t -> Prop :=
| ax_app : forall (x:termvar) (t12:t) (v:t),
  is_v_of_t v ->
  reduce (t_App (t_Lam x t12) v) (tsubst_t v x t12)
| ctx_app_fun : forall (t1:t) (t_5:t) (t1':t),
  reduce t1 t1' ->
  reduce (t_App t1 t_5) (t_App t1' t_5)
| ctx_app_arg : forall (v:t) (t1:t) (t1':t),
  is_v_of_t v ->
  reduce t1 t1' ->
  reduce (t App v t1) (t App v t1').
```

List support

```
t :: 't_' ::=                                {{ com term  }}  
  | { l1 = t1 , ... , ln = tn } :: :: Rec  {{ com record  }}
```

We'd like to use nested list types, e.g. as in the HOL (nice and easy):

```
val _ = Hol_datatype '  
Typ =  
  T_Var of typevar  
  | T_Top  
  | T_Fun of Typ => Typ  
  | T_Forall of typevar => Typ => Typ  
  | T_Rec of (label#Typ) list  
' ;
```

List support (n.s.s.)

But then:

HOL: need smarter `ottDefine` to define functions

Isabelle: for `primrec`, need to define additional functions for list cases, can't nest.
If multiple occurrences of `t list`, need multiple copies of additional functions.

Coq: choice

- native lists
 - Coq can't decide termination of functions — Soln: local fixpoints, obfusc
 - Coq-synthesised ind. prin. for inductive defns too weak — Soln: synthesise a better one
- build list types (also needed for Twelf)
 - need to build lots (list types arising from rules)
 - maybe awkward for proofs

List support — rules

The set of symbolic terms of the definition rule are analysed together to find list forms with the same bounds. A single proof assistant variable is introduced for each such, with appropriate projections and list maps/forall at the usage points.

$$\frac{\Gamma \vdash t_1 : T_1 \quad \dots \quad \Gamma \vdash t_n : T_n}{\Gamma \vdash \{ l_1 = t_1, \dots, l_n = t_n \} : \{ l_1 : T_1, \dots, l_n : T_n \}} \quad \text{TY_RCD}$$

```
/\ ( (* Ty_Rcd *) !(l_t_Typ_list : (label#t#Typ) list) (G:G) .
  ((EVERY (\b.b) ((MAP (\(l_,t_,Typ_) . (Ty G t_ Typ_)) l_t_Typ_list)))
    ==>
  ((Ty G (t_Rec ((MAP (\(l_,t_,Typ_) . (l_,t_)) l_t_Typ_list)))
    (T_Rec ((MAP (\(l_,t_,Typ_) . (l_,Typ_)) l_t_Typ_list))))))
```

List support in Coq (ottt-defined lists)

- A grammar definition involving dots (excerpt from $F_{<}$):

```
T :: 'T_' ::=                               {{ com type }}
  | { l1 : T1 , ... , ln : Tn } :: :: Rec    {{ com record }}
```

- introduces an auxiliary list type:

```
Inductive list_label_T : Set :=
  Nil_list_label_T : list_label_T
| Cons_list_label_T : label -> T -> list_label_T
  -> list_label_T
```

with

```
T : Set :=
  | T_Rec : list_label_T -> T.
```

List support in Coq (ott-defined lists, ctd.)

- And a type rule involving dots:

$$\frac{G \vdash T_1 \dots G \vdash T_n}{G \vdash l_1:T_1, \dots, l_n:T_n} :: \text{Rcd}$$

- is defined as:

```
GT : G -> T -> Prop :=
| GT_Rcd : forall l_T_list G5,
  (GT_list (make_list_G_T
            (map_list_label_T
              (fun (l_:label) (T_:T) => (G5,T_))
              l_T_list)))) ->
  GT G5 (T_Rec l_T_list)
```

with

```
GT_list : list_G_T -> Prop :=
| Nil_GT_list : GT_list Nil_list_G_T
| Cons_GT_list : forall G5 T_ l',
  GT G5 T_ -> GT_list l' ->
  GT_list (Cons_list_G_T G5 T_ l')
```

NB: the type rule requires the defn of another auxiliary list type `GT_list`.

List support in Coq (native lists)

Alternatively,

- rely on native polymorphic lists:

```
Inductive T : Set :=  
  | TyRecord : list (label*T) -> T.
```

- generate the appropriate induction principle:

```
Section T_rect.  
Variable P : T -> Type.  
Variable Q : list (label*T) -> Type.  
Hypotheses  
  (f3 : forall l : list (label * T), Q l -> P (TyRecord l)).  
  
Fixpoint T_rect' (T0 : T) : P T0 :=  
  match T0 return (P T0) with  
  | TyRecord l =>  
    f3 ((fix phi (l:list (label*T)) {struct l} : Q l :=  
      match l return Q l with  
      | nil => g  
      | ((l0,T0)::l') =>  
        (h l0 (T_rect' T0:P T0) (phi l':Q l')  
         : Q ((l0,T0)::l'))  
      end) l)  
  end.  
End T_rect.
```

- careful use of local fixpoints to convince Coq that the functions terminate

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...and Binding

- For now, generating a **fully-concrete representation** for variables.
Fine for semantics of whole programs (really, those that don't shadow std library), as we only do subst of (almost) closed values.
- say in source what binds in what via the **bindspec language**;
- generated substitution functions;
don't subst for x under a binder for x !
- can bind metavariables or (!) nonterms
- substitution functions have to match the inductive structure of syntax.
and *deal with coq/isa pickiness*.

Bindspec language

Snippets inside (+ and +) belong to the bindspec language. Two kind of defns:

- `bind mse in nonterm`

declares the metavariables and nonterminals denoted by *mse* are binding in *nonterm*

- `auxfn = mse`

lets the user define *auxiliary functions* to collect selected mvrs and ntrs of subterms

A subset of the *mse* grammar (also support list forms here):

$$\begin{array}{l} mse ::= metavar \\ | nonterm \\ | auxfn(nonterm) \\ | mse \text{ union } mse' \end{array}$$

Bindspec example: patterns

$\text{var } X \ :: \ \text{termvar}$

$\text{exp} \quad ::= \quad X$
 | $\lambda X . \text{exp}$ bind X in exp
 | $\text{exp } \text{exp}'$
 | $(\text{exp} , \text{exp}')$
 | **let** $\text{pat} = \text{exp}$ **in** exp' bind $b(\text{pat})$ in exp'

$\text{pat} \quad ::= \quad X$ $b = X$
 | $-$ $b = \{ \}$
 | $(\text{pat} , \text{pat}')$ $b = b(\text{pat}) \cup b(\text{pat}')$

Example: **let** $(x , y) = z$ **in** $x y$ with its pat subterm (x , y)

Binding examples

In practice, the bindspec language is **fairly expressive**.

Among the examples we built:

- multiple `let` `rec` with multiple clauses and argument patterns;
- `or` patterns;
- join calculus definitions.

Binding — what does it mean?

Substitutions...

Often need to use (single/multiple) substitutions (not always, e.g. LJ)

- Say in source what substitution functions we need

```
substitutions
```

```
single t x :: tsubst
```

```
single T X :: Tsubst
```

```
multiple t x :: m_t_subst
```

Here `single t x` builds substitution functions that replace *singleton productions* x in the t grammar by ts , and recursively where dependency analysis requires, over each syntactic type.

```
consts
tsubst_t :: "t => termvar => t => t"
primrec
"tsubst_t t5 x5 (t_Var x) = ((if x=x5 then t5 else (t_Var x)))"
"tsubst_t t5 x5 (t_Lam x t) = (t_Lam x (if x5 mem [x] then t else (tsubst_t t5 x5 t)))"
"tsubst_t t5 x5 (t_App t t') = (t_App (tsubst_t t5 x5 t) (tsubst_t t5 x5 t'))"
```

- users define whatever syntax they want, then refer to the generated functions in isa/coq/hol homs using *meta productions*:

```
| { t / x } t' :: M :: Tsub {{ icho (tsubst_t [[t]] [[x]] [[t']]) }}
```

Substitutions...

n.s.s.: have to deal with similar function definition issues as before

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Does it really work?

- (1) small lambda calculi: untyped, simply typed (*), and with ML polymorphism, all CBV;
- (2) TAPL systems — booleans, naturals, functions, base types, units, seq, ascription, lets, fix, products, sums, tuples, records, variants; (*)
- (3) Leroy's JFP module system, with a term language and operational semantics [Scott];
- (4) combination of separation logic with rely-guarantee reasoning [Matt];
- (5) Lightweight Java, and Java module system proposals based on JSR 277 and JSR 294 (~140 semantic rules) [Rok]; and
- (6) a large fragment of OCaml (~265 semantic rules) (*?)

Modular semantics: ott source for the TAPL let fragment

```
grammar
t :: Tm ::=
  | let x = t in t'  :: :: Let    (+ bind x in t' +)
                                     {{ com let binding }}

defns
Jop :: '' ::=

defn
t --> t' :: :: red :: E_ {{ com Evaluation }} by

----- :: LetV
let x=v1 in t2 --> [x|->v1]t2

t1 --> t1'
----- :: Let
let x=t1 in t2 --> let x=t1' in t2

defns
Jtype :: '' ::=

defn
G |- t : T :: :: typing :: T_ {{ com Typing }} by

G |- t1:T1
G,x:T1 |- t2:T2
----- :: Let
G |- let x=t1 in t2 : T2
```

Does it really work? (ctd.)

file(s)	system	L ^A T _E X	Isabelle		Coq		HOL	
			defns	mt	defns	mt	defns	mt
test10.ott	untyped CBV lambda	✓	✓		✓		✓	
test10st.ott	simply typed CBV lambda	✓	✓	✓	✓	✓	✓	✓
test8.ott	ML polymorphism	✓	✓		✓		✓	
sys-bool	TAPL - boolean values	✓	✓		✓		✓	
sys-arith	TAPL - integers	✓	✓		✓		✓	
sys-puresimple	TAPL - simply typed lambda	✓	✓		✓		✓	
sys-tybool	TAPL - stl + bool	✓	✓		✓		✓	
sys-sortoffullsimple	TAPL - ... lots ...	✓	✓		✓		✓	
sys-tuple	TAPL - tuples	✓	✓		✓		✓	
sys-puresub	TAPL - subtypes	✓	✓		✓		✓	
sys-purercdsub	TAPL - record subtypes	✓	✓		✓		✓	
sys-roughlyfullsimple	TAPL - most but subtyping	✓			✓	✓*	✓	✓
test7a.ott	POPLmark $F_{<}$:	✓	(1)		(1)		(1)	
test7.ott	POPLmark $F_{<}$: with records	✓	(1)		(1)		(1)	
LJ	Lightweight Java	✓	✓	✓*				
LJm	Lightweight Java/JSR 277	✓	✓					
LJp	Lightweight Java/JSR 294	✓	✓					
leroy-jfp96	Modules (Leroy '96)	✓					✓	
not-so-mini-caml	OCaml fragment	✓			✓		✓	✓

(1) The generated proof assistant $F_{<}$: definitions are well-formed but the concrete variable representation in the generated code is not satisfactory here — this version of the type system disallows all shadowing, so typing is not preserved by reduction.

(*) Metatheory in progress. Proofs by S. Owens, G. Peskine, T. Ridge, R Strniša.

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Related work — tool support

- The Munger (Wansbrough), b1a (Fairbairn), TTP (Peskin)
- Coq/HOL/Isa/Twf: various fancy syntax and typesetting support
- α Prolog (Cheney, Urban), MLSOS (Lakin, Pitts)
- C α ml (Pottier, 05): generate OCaml code for ASTs with binding
- Sugar (Tse, Zdancewic, 05): generate parser and ASTs for concrete terms
- PLT Redex (Matthews, Findler, Flatt, Felleisen, 04)
- TinkerType (Pierce, Levin, 00)
- CLaReT (Boulton,98): parser, pp, and HOL defns from den.sem.
- ASF+SDF
- Animator Generator (Berry, 91)
- Centaur (Kahn et al,88)
- Synthesizer Generator (Reps, Teitelbaum, 83)

Related work — a sample of large-scale language definitions

- Revised^{5.92} Scheme (PLT Redex) (07)
- an ML internal language (Twelf) (Lee, Crary, Harper, 07)
- a Java-like language (Isabelle) (Klein, Nipkow, 06)
- Haskell98-ish static semantics (\LaTeX) (Faxén, 02)
- C expressions (HOL) (Norrish, 98)
- various TALs
- ...

Ott development

Next release:

- a shiny *fast* GLR parser (by Scott Owens) (testing)
- grammars of term contexts, generation of context application (done)
- Isabelle2007 target (ongoing with isa07 team)

Very soon:

- compiling to *locally nameless* quotiented binding representation
- function definitions, not just relations (presently embed)

Work in progress:

- compiling to *nominal logic* in Isabelle
- multiple overlapping languages, and syntactic sugar
- distinctness predicates

Conclusion — The Hope

We hope this will enable a phase change, making it feasible, without heroic effort, to routinely work with rigorous semantic definitions of realistic languages (and interesting calculi).

Further, we hope it will make it easy to

- build semantics modularly,
- exchange definitions of calculi and languages, and fragments thereof, across the community.
- move smoothly from informal maths to rigorous definitions
- investigate more uniform proof assistant support for proof

The tool, documentation, and examples are available at

<http://moscova.inria.fr/~zappa/software/ott>

(ocaml code, BSD-style licence). Try it!